UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF PENNSYLVANIA

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

Case No. 2:18-md-02323-AB

MDL No. 2323

The Honorable Anita B. Brody

Kevin Turner and Shawn Wooden, on behalf of themselves and others similarly situated,

Plaintiffs,

v.

National Football League and NFL Properties LLC, successor-in-interest to NFL Properties, Inc.,

Defendants.

THIS DOCUMENT RELATES TO: ALL ACTIONS

DECLARATION OF MICHAEL D. ROTH IN SUPPORT OF RD LEGAL FINANCE, LLC'S OPPOSITION TO ANDREW STEWART'S MOTION TO REQUIRE CLAIMS ADMINISTRATOR TO PAY REMAINING AMOUNT OF MONETARY AWARD

[Opposition to Andrew Stewart's Motion to Require Claims Administrator to Pay Remaining Amount of Monetary Award filed concurrently herewith]

BROTMAN LAW ELLEN C. BROTMAN, ESQ.

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dwillingham@bsfllp.com
MICHAEL D. ROTH (pro hac vice)
mroth@bsfllp.com
JEFFREY M. HAMMER (pro hac vice)
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725 South Figueroa Street, 31st Floor
Los Angeles, California 90017-5524
Telephone: (213) 629-9040
Facsimile: (213) 629-9022

Attorneys for RD LEGAL FUNDING, LLC; RD LEGAL FINANCE, LLC; RD LEGAL FUNDING PARTNERS, LP; and RONI DERSOVITZ

DECLARATION OF MICHAEL D. ROTH

- I, MICHAEL D. ROTH, declare and state as follows:
- 1. I am a partner at Boies Schiller Flexner LLP, counsel for RD Legal Finance, LLC ("FINCO") in this matter. I submit this declaration in support of FINCO's Opposition to Andrew Stewart's Motion to Require Claims Administrator to Pay Remaining Amount of Monetary Award. I have personal knowledge of the facts stated herein, and could and would testify competently thereto if called as a witness in this matter.
- 2. On March 13, 2018, I received an email from Andrew Stewart's attorney, Michael Rosenthal, attaching a letter to FINCO and, pursuant to "instructions from the Claims Administrator," forwarding to FINCO a document entitled "Waiver Relinquishing Rights Under Attempted Assignment" (the "Waiver Form"). A true and correct copy of the March 13, 2018 email and attachment is attached hereto as Exhibit 1.
- 3. On April 10, 2018, I sent a letter to Mr. Rosenthal and the Claims Administrator, BrownGreer PLC ("BrownGreer"), informing them that FINCO would be accepting the offer of rescission of Mr. Stewart's Assignment and Sale Agreement. A true and correct copy of my April 10, 2018 letter is attached hereto as Exhibit 2.
- 4. Later on April 10, 2018, I sent an email to Mr. Rosenthal and BrownGreer forwarding to them the Waiver Form executed by FINCO. A true and correct copy of my April 10, 2018 email with the executed Waiver Form is attached hereto as Exhibit 3.
- 5. On April 11, 2018, I received an email from Mr. Rosenthal stating "Andrew will not sign the waiver." A true and correct copy of Mr. Rosenthal's April 11, 2018 email is attached hereto as Exhibit 4.
- 6. I responded on April 11, 2018, by emailing the Claims Administrator and Mr. Rosenthal, and informing them: "Rule 8(b) of the Rules Governing Assignment of Claims covers this situation and provides: 'If the Settlement Class Member has not agreed to the amount advanced that has not been repaid, the Claims Administrator and the Special Master will determine the correct amount from the materials submitted." Attached to my email were the completed Waiver Form, Mr. Stewart's Assignment and Sale Agreement, and proof of FINCO's

payment of \$343,120.53 pursuant to the assignment agreement. A true and correct copy of my April 11, 2018 email, with attachments, is attached hereto as Exhibit 5.

- 7. Later on April 11, 2018, I was copied on an email from Mr. Rosenthal to the Claims Administrator stating that "Mr. Stewart has a right to decline" the rescission, and demanding that the claims administrator pay the full \$343,120.53 to Mr. Stewart. A true and correct copy of Mr. Rosenthal's April 11, 2018 email is attached hereto as Exhibit 6.
- 8. On April 11, 2018, I responded and informed the Claims Administrator that Mr. Rosenthal's position is inconsistent with the Court's December 8 Order and the Rules Governing Assignment of Claims. A true and correct copy of my April 11, 2018 email is attached hereto as Exhibit 7.
- 9. On April 13, 2018, I spoke with Andrew Oxenreiter, Senior Counsel at BrownGreer, who informed me that (a) Mr. Stewart was the first player who had not agreed to the distribution after the Waiver Form was signed, (b) that in accordance with Rule 8(b) of the Rules Governing Assignment of Claims, they agree that the Claims Administrator and Special Masters are supposed to figure out the amount due to the third-party funding companies, and (c) the Claims Administrator and Special Masters had looked at the agreements when the Waiver Form was issued and they agreed with the amount of \$343,120.53 that FINCO asserted should be returned.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Executed June 26, 2018, at Los Angeles, California.

| /s/ Michael D | O. Roth | |
|---------------|---------|--|
| | | |

EXHIBIT 1

From: Michael Rosenthal
To: Michael Roth

Subject: NFL Concussion Litigation

Date: Tuesday, March 13, 2018 2:22:56 PM

Attachments: Letter to RDL.pdf

ATT00002.htm

Mr. Roth,

Please see the attached.

_

Michael H. Rosenthal Rosenthal Lurie & Broudy LLC 102 Pickering Way, STE 310 Exton, PA 19341 (p) 215.496.9404 (f) 215.600.1728 Michael@RLBlawgroup.com

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COMMERCIAL LITIGATION
EMPLOYMENT AND BENEFITS
INSURANCE AND REINSURANCE
REAL ESTATE AND CONSTRUCTION

Michael H. Rosenthal (p) 215.496.9404 (f) 215.600.1728 Michael@RLBlawgroup.com

Reply to Exton Office

March 13, 2018

VIA FACSIMILE 201.568.9307

Roni Dersovitz RD Legal Finance, LLC 45 Legion Drive, 2nd Floor Cresskill, NJ 07626

Re: NFL Concussion Litigation

Andrew Stewart/Purported Assignment and Sale Agreement of January 26,

2016

Dear Mr. Dersovitz:

My client, Andrew Stewart, will be receiving funds from the settlement of the NFL Concussion Litigation. Mr. Stewart entered into a purported Assignment and Sale Agreement with RD Legal Finance, LLC ("RDL") on or about January 26, 2016. As you know, the Court ruled in its Order dated December 8, 2017 ("Order") that this agreement is void and invalid.

I have now received instructions from the Claims Administrator to forward to you a "Waiver Relinquishing Rights Under Attempted Assignment" ("Waiver"), which is attached here. As explained in the Waiver, RDL has an opportunity to accept a rescission, subject to the terms of the Waiver. If you decide to proceed with the Waiver, please complete Sections II through V, sign Section VI, and return it to me. The Claims Administrator's deadline for returning a completed waiver is April 12.

A copy of this letter is also being emailed to RDL's attorney, Michael Roth.

Sincerely,

Michael H. Rosenthal

cc. Michael Roth, Esq.

PHILADELPHIA 325 CHESTNUT STREET SUITE 800 PHILADELPHIA, PA 19106 EXTON 102 PICKERING WAY SUITE 310 EXTON, PA 19341

EXHIBIT 1-A



WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT

DEADLINE FOR RECEIPT OF COMPLETED WAIVER: APRIL 12, 2018

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. To do so, Third Party Funders must execute this Waiver Relinquishing Rights Under Attempted Assignment (this "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment, and return it to the Claims Administrator within 30 days of the date that the Claims Administrator issued it. This deadline is printed in the banner heading above.

The Claims Administrator will withhold – from the Class Member's monetary award – the amount of money that the Third-Party Funder has already paid to the Class Member and that the Class Member has not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. The Class Member confirms the monetary amount indicated in Section III below.

If the Class Member is represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver to the Class Member's lawyer.

If the Class Member is <u>not</u> represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver directly to the Claims Administrator.

| | | I. | SETTLEMENT | CLASS I | МЕМВЕ | R INFO | RMATIO | N | |
|--------------------------------------|-------------------------------------|--------|------------|--------------|----------|--------|--------|----------|--|
| Settleme | nt Progra | m ID | 100014616 | | | | | | |
| Name | Name First Andrew | | | M.I. Stewart | | | | | |
| Settlement Class Member Type Retired | | | | L Footk | oall Pla | ayer | | | |
| Lawyer | Lawyer Rosenthal Lurie & Broudy LLC | | | | | | | | |
| | | II. | THIRD-PA | RTY FUI | IDER II | NFORM | ATION | | |
| Name | | | | | | | | | |
| Employer Identification Number | | | EIN - | | 1 1 | 1 | 11 | 1 | |
| Address | | Street | | | | State | | Zip Code | |

| Name of Authorized Business Representative | | | | | | | |
|--|-----------------------------|--|--|--|--|--|--|
| Title of Authorized Business Representative | | | | | | | |
| Email Address | | | | | | | |
| Phone Number () | 1 1 1 1 | | | | | | |
| III. DETAILS OF AGREEMENT(S) BETWEEN THIRD-PARTY FUNDER AND CLASS MEMBER | | | | | | | |
| Name and Date of Agreement(s) Between Third-Party Funder and Class Member. Enter the names (e.g., Funding Agreement, Promissory Note, Security Agreement, etc.) of the agreements between the Third-Party Funder and the Class Member in which the Class Member assigned or attempted to assign a monetary claim to the Third-Party Funder, together with the effective dates of those agreements. If one transaction includes multiple agreements, list them all. If there are more than five agreements, list additional agreements on a separate page. | | | | | | | |
| Name of Agreement Document | Effective Date of Agreement | | | | | | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |
| 4. | | | | | | | |
| 5. | | | | | | | |
| Amount Third-Party Funder Paid to Class Member. Enter the total amomey that the Third-Party Funder has already paid to the Class Member. | s | | | | | | |
| Amount Class Member Has Returned to Third-Party Funder. If application the total amount of money that the Class Member has returned to the Third Funder. | | | | | | | |
| NOTE: Upon receipt of this completed Waiver signed by the Third-Party Funder and the Attachment A signed by the Class Member, the Claims Administrator will withhold the amount the Third-Party Funder has paid to the Class Member, minus any amount the Class Member has returned to the Third-Party Funder, from the Class Member's Monetary Award payment and direct that amount to the Third-Party Funder. | | | | | | | |
| IV. ACCEPTANCE OF RESCISSION AND WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT | | | | | | | |
| By signing this Waiver and accepting the amount noted at Section III above, the Third-Party Funder accepts rescission and relinquishes any and all claims or rights under each and every agreement, in its entirety, between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. The Third-Party Funder will not seek any further payment from the Class Member, the Class Member's estate, or any other party, by any collection method, as a result of the agreement(s) noted above and certifies by signing this Waiver that the Third-Party Funder accepts the amount noted as full and complete repayment of any and all amounts due from the Class Member. Additionally, the Third-Party Funder waives any and all claims against the Class Member's attorney, the Claims Administrator, and the Trustee of the Settlement Trust Account. | | | | | | | |

| V. PAYMENT ELECTION INFORMATION FOR THIRD-PARTY FUNDER | | | | | | | | |
|---|--|----------------------|--------|--|--|-------|----------|--|
| Paymer | Payment Method Wire Transfer Check C | | | | | | | |
| V(A). REQUIRED INFORMATION TO RECEIVE PAYMENT BY WIRE TRANSFER (Complete only if Payment Method selected is Wire Transfer) | | | | | | | | |
| 1. | BANK NAME | | | | | | | |
| 2. | BANK ABA ROL | ITING N UMBER | | | | | | |
| 3. | ACCOUNT NAME | | | | | | | |
| 4. | ACCOUNT NUME | BER | | | | | | |
| 5. | INTERMEDIARY BANK NAME (IF APPLICABLE) | | | | | | | |
| 6. | INTERMEDIARY BANK ABA ROUTING NUMBER (IF APPLICABLE) | | | | | | | |
| 7. | FOR FURTHER C | | ION | | | | | |
| | V(B). REQUIRED INFORMATION TO RECEIVE PAYMENT BY CHECK (Complete only if Payment Method selected is Check) | | | | | | | |
| 1. | PAYEE NAME | | | | | | | |
| 2. | MAILING ADDRE | ss | Street | | | State | Zip Code | |

VI. SIGNATURE OF THIRD-PARTY FUNDER

This Waiver is an official document submitted in connection with the Class Action Settlement in *In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323.* By signing this Waiver, the Third-Party Funder accepts rescission and relinquishes any claims or rights under the entire agreement between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in this Waiver is true and correct to the best of my knowledge, information and belief.

| provided in this \ | provided in this Waiver is true and correct to the best of my knowledge, information and belief. | | | | | | | | | |
|---|--|--|---|--|--|--|--|--|--|--|
| Signature | Date | | | | | | | | | |
| Printed Name | First M.I. Last | | | | | | | | | |
| Title | | | | | | | | | | |
| VII. HOW TO SUBMIT THIS WAIVER | | | | | | | | | | |
| Complete this Waiver fully, sign it and submit it to the Claims Administrator. You may submit this Waiver using one of these methods: | | | | | | | | | | |
| By Online Portal: | | | Go to your secure online portal with the Claims Administrator and upload this signed PDF. | | | | | | | |
| By Email: | ClaimsAdministrator@NFLConcussionSettlement.com | | | | | | | | | |
| By Mail: | NFL Concussion Settlement Claims Administrator P.O. Box 25369 Richmond, VA 23260 | | | | | | | | | |
| By Delivery: | NFL Concussion Settlement c/o BrownGreer PLC 250 Rocketts Way | | | | | | | | | |

Richmond, VA 23231



WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT ATTACHMENT A

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. This means that they will accept the return of the funds they extended to you in lieu of enforcing the purported agreement you entered into with the Third-Party Funder. To accept rescission, Third Party Funders must execute a Waiver Relinquishing Rights Under Attempted Assignment (the "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment.

The Claims Administrator will withhold – from your monetary award – the amount of money that the Third-Party Funder has already paid to you and that you have not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. You confirm the monetary amount indicated in Section III of the Waiver.

You must sign this Attachment A to confirm the amount indicated in Section III of the Waiver.

SIGNATURE OF SETTLEMENT CLASS MEMBER

This Attachment A to the Waiver is an official document submitted in connection with the Class Action Settlement in *In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323.* By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in the Waiver is true and correct to the best of my knowledge, information and belief.

| Signature by Settlement Class Member | | | Date | |
|--------------------------------------|-------|------|------|--|
| Printed Name | First | M.I. | Last | |

EXHIBIT 2



VIA EMAIL

MICHAEL D. ROTH mroth@bsfllp.com

April 10, 2018

Orran Brown
BrownGreer PLC
250 Rocketts Way
Richmond, Virginia 23231
E-Mail: obrown@browngreer.com

Michael H. Rosenthal Rosenthal Lurie Broudy 325 Chestnut Street Suite 800 Philadelphia, PA 19106 Michael@ RLBlawgroup.com

Re: RD Legal / Andrew Stewart

NFL Players' Concussion Injury Litigation, Case No. 2:12-md-02323-AB

Dear Messrs, Brown and Rosenthal:

We represent RD Legal Finance, LLC ("FINCO") and related entities (collectively, "RD Legal") in connection with the third-party funding issues in the above-referenced matter.

On March 13, 2018, FINCO received a letter from Mr. Rosenthal, counsel for Andrew Stewart (one of the players who assigned a portion of his settlement proceeds to FINCO), stating that: (1) BrownGreer had instructed him to forward to FINCO a "Waiver Relinquishing Rights Under Attempted Assignment" (the "Waiver Form"); (2) under the Waiver Form FINCO has "an opportunity to accept rescission" of Mr. Stewart's Assignment and Sale Agreement with FINCO, and thus receive a refund of its principal advance; and (3) providing FINCO up to, and including, April 12, 2018 to return the completed Waiver Form and accept the offer of rescission. (Exhibit A.)

The purpose of this letter is to inform you that, based on the rulings of the District Court which we have appealed, FINCO is left with no option other than to accept the offer of rescission of Mr. Stewart's Assignment and Sale Agreement.



Orran Brown April 10, 2018 Page 2

FINCO will be forwarding the completed Waiver Form to Mr. Rosenthal today or tomorrow, and understands that the completed form will then be forwarded to BrownGreer.

If you would like to discuss any of these issues, please contact me or my colleague, David Willingham, at 213-629-9040.

Sincerely,

MICHAEL D. ROTH

Attachment

cc: David K. Willingham

Roni Dersovitz Phillip Kwon

EXHIBIT A

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COMMERCIAL LITIGATION
EMPLOYMENT AND BENEFITS
INSURANCE AND REINSURANCE
REAL ESTATE AND CONSTRUCTION

Michael H. Rosenthal (p) 215.496.9404 (f) 215.600.1728 Michael@RLBlawgroup.com

Reply to Exton Office

March 13, 2018

VIA FACSIMILE 201.568.9307

Roni Dersovitz RD Legal Finance, LLC 45 Legion Drive, 2nd Floor Cresskill, NJ 07626

Re: NFL Concussion Litigation

Andrew Stewart/Purported Assignment and Sale Agreement of January 26,

2016

Dear Mr. Dersovitz:

My client, Andrew Stewart, will be receiving funds from the settlement of the NFL Concussion Litigation. Mr. Stewart entered into a purported Assignment and Sale Agreement with RD Legal Finance, LLC ("RDL") on or about January 26, 2016. As you know, the Court ruled in its Order dated December 8, 2017 ("Order") that this agreement is void and invalid.

I have now received instructions from the Claims Administrator to forward to you a "Waiver Relinquishing Rights Under Attempted Assignment" ("Waiver"), which is attached here. As explained in the Waiver, RDL has an opportunity to accept a rescission, subject to the terms of the Waiver. If you decide to proceed with the Waiver, please complete Sections II through V, sign Section VI, and return it to me. The Claims Administrator's deadline for returning a completed waiver is April 12.

A copy of this letter is also being emailed to RDL's attorney, Michael Roth.

Sincerely,

Michael H. Rosenthal

cc. Michael Roth, Esq.

PHILADELPHIA 325 CHESTNUT STREET SUITE 800 PHILADELPHIA, PA 19106

WWW.RLBLAWGROUP.COM

EXTON 102 PICKERING WAY SUITE 310 EXTON, PA 19341



WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT

DEADLINE FOR RECEIPT OF COMPLETED WAIVER: APRIL 12, 2018

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. To do so, Third Party Funders must execute this Waiver Relinquishing Rights Under Attempted Assignment (this "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment, and return it to the Claims Administrator within 30 days of the date that the Claims Administrator issued it. This deadline is printed in the banner heading above.

The Claims Administrator will withhold – from the Class Member's monetary award – the amount of money that the Third-Party Funder has already paid to the Class Member and that the Class Member has not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. The Class Member confirms the monetary amount indicated in Section III below.

If the Class Member is represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver to the Class Member's lawyer.

If the Class Member is <u>not</u> represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver directly to the Claims Administrator.

| | | I. | SETTLEMENT | CLASS | МЕМ | BER II | NFOR | MATIO | N | | |
|-------------------------------------|-------------------|---------|------------|--------------|------|--------|-------|-------|----------|--|--|
| Settleme | nt Progra | m ID | 100014616 | | | | | | | | |
| Name | lame First Andrew | | | M.I. Stewart | | | | | | | |
| Settleme | Retired NF | L Footl | ball F | Playe | | | | | | | |
| Lawyer Rosenthal Lurie & Broudy LLC | | | | | | | | | | | |
| | | II. | THIRD-PAI | RTY FUI | NDER | Info | RMAT | ION | | | |
| Name | | | | | | | | | | | |
| Employer Identification Number | | | EIN | | į | 1 | - 4 | 1 | 1 | | |
| Address | | Street | | | | | State | | | | |
| | | City | City | | | | | | Zip Code | | |

| Name of Authorized | d Business Representative | | | | | | |
|--|--|----------|-------------|-------------------|--|--|--|
| Title of Authorized | Business Representative | | | | | | |
| Email Address | | | | | | | |
| Phone Number | () | <u> </u> | | 1 | | | |
| III. DETAILS OF AGREEMENT(S) BETWEEN THIRD-PARTY FUNDER AND CLASS MEMBER | | | | | | | |
| Name and Date of Agreement(s) Between Third-Party Funder and Class Member. Enter the names (e.g., Funding Agreement, Promissory Note, Security Agreement, etc.) of the agreements between the Third-Party Funder and the Class Member in which the Class Member assigned or attempted to assign a monetary claim to the Third-Party Funder, together with the effective dates of those agreements. If one transaction includes multiple agreements, list them all. If there are more than five agreements, list additional agreements on a separate page. | | | | | | | |
| ı | Name of Agreement Document | | Effective [| Date of Agreement | | | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |
| 4. | | | | | | | |
| 5. | | | | | | | |
| | y Funder Paid to Class Member. I-Party Funder has already paid to | | | \$ | | | |
| | nber Has Returned to Third-Part noney that the Class Member has | | | \$ | | | |
| NOTE: Upon receipt of this completed Waiver signed by the Third-Party Funder and the Attachment A signed by the Class Member, the Claims Administrator will withhold the amount the Third-Party Funder has paid to the Class Member, minus any amount the Class Member has returned to the Third-Party Funder, from the Class Member's Monetary Award payment and direct that amount to the Third-Party Funder. | | | | | | | |
| IV. ACCEPTANCE OF RESCISSION AND WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT | | | | | | | |
| Assignment By signing this Waiver and accepting the amount noted at Section III above, the Third-Party Funder accepts rescission and relinquishes any and all claims or rights under each and every agreement, in its entirety, between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. The Third-Party Funder will not seek any further payment from the Class Member, the Class Member's estate, or any other party, by any collection method, as a result of the agreement(s) noted above and certifies by signing this Waiver that the Third-Party Funder accepts the amount noted as full and complete repayment of any and all amounts due from the Class Member. Additionally, the Third-Party Funder waives any and all claims against the Class Member's attorney, the Claims Administrator, and the Trustee of the Settlement Trust Account. | | | | | | | |

| V. PAYMENT ELECTION INFORMATION FOR THIRD-PARTY FUNDER | | | | | | | | |
|---|--|----------------------|--------|--|--|-------|----------|--|
| Paymer | Payment Method Wire Transfer Check C | | | | | | | |
| V(A). REQUIRED INFORMATION TO RECEIVE PAYMENT BY WIRE TRANSFER (Complete only if Payment Method selected is Wire Transfer) | | | | | | | | |
| 1. | BANK NAME | | | | | | | |
| 2. | BANK ABA ROL | ITING N UMBER | | | | | | |
| 3. | ACCOUNT NAME | | | | | | | |
| 4. | ACCOUNT NUME | BER | | | | | | |
| 5. | INTERMEDIARY BANK NAME (IF APPLICABLE) | | | | | | | |
| 6. | INTERMEDIARY BANK ABA ROUTING NUMBER (IF APPLICABLE) | | | | | | | |
| 7. | FOR FURTHER C | | ION | | | | | |
| | V(B). REQUIRED INFORMATION TO RECEIVE PAYMENT BY CHECK (Complete only if Payment Method selected is Check) | | | | | | | |
| 1. | PAYEE NAME | | | | | | | |
| 2. | MAILING ADDRE | ss | Street | | | State | Zip Code | |

VI. SIGNATURE OF THIRD-PARTY FUNDER

This Waiver is an official document submitted in connection with the Class Action Settlement in *In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323.* By signing this Waiver, the Third-Party Funder accepts rescission and relinquishes any claims or rights under the entire agreement between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in this Waiver is true and correct to the best of my knowledge, information and belief.

| provided in this | rovided in this Waiver is true and correct to the best of my knowledge, information and belief. | | | | | | | | | |
|---|---|--|---|------|------|--|--|--|--|--|
| Signature | | | | | Date | | | | | |
| Printed Name | First | | M.I. | Last | | | | | | |
| Title | | | | | | | | | | |
| VII. HOW TO SUBMIT THIS WAIVER | | | | | | | | | | |
| Complete this Waiver fully, sign it and submit it to the Claims Administrator. You may submit this Waiver using one of these methods: | | | | | | | | | | |
| By Online Portal: | | | Go to your secure online portal with the Claims Administrator and upload this signed PDF. | | | | | | | |
| By Email: | ClaimsAdministrator@NFLConcussionSettlement.com | | | | | | | | | |
| By Mail: | NFL Concussion Settlement Claims Administrator P.O. Box 25369 Richmond, VA 23260 | | | | | | | | | |
| By Delivery: | NFL Concussion Settlement c/o BrownGreer PLC 250 Rocketts Way | | | | | | | | | |

Richmond, VA 23231



WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT ATTACHMENT A

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. This means that they will accept the return of the funds they extended to you in lieu of enforcing the purported agreement you entered into with the Third-Party Funder. To accept rescission, Third Party Funders must execute a Waiver Relinquishing Rights Under Attempted Assignment (the "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment.

The Claims Administrator will withhold – from your monetary award – the amount of money that the Third-Party Funder has already paid to you and that you have not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. You confirm the monetary amount indicated in Section III of the Waiver.

You must sign this Attachment A to confirm the amount indicated in Section III of the Waiver.

SIGNATURE OF SETTLEMENT CLASS MEMBER

This Attachment A to the Waiver is an official document submitted in connection with the Class Action Settlement in *In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323.* By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in the Waiver is true and correct to the best of my knowledge, information and belief.

| Signature by Settlement Class Member | | | Date | |
|--------------------------------------|-------|------|------|--|
| Printed Name | First | M.I. | Last | |

EXHIBIT 3

From: <u>Michael Roth</u>

To: <u>Orran Brown</u>; <u>michael@RLBlawgroup.com</u>

Cc: <u>David Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Megan Abner</u>

Subject: RE: NFL Players" Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Date: Tuesday, April 10, 2018 4:04:49 PM

Attachments: Stewart Waiver Form.pdf

Attached please find the Waiver Form pertaining to Andrew Stewart executed by RD Legal Finance, LLC.

Regards.

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 725 South Figueroa Street Los Angeles, CA 90017 (t) (213) 629-9040 (f) (213) 629-9022 mroth@bsfllp.com

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From: Orran Brown [mailto:OBrown@browngreer.com]

Sent: Tuesday, April 10, 2018 12:55 PM

To: Megan Abner

www.bsfllp.com

Cc: michael@RLBlawgroup.com; Michael Roth; David Willingham; rdersovitz@legalfunding.com;

PKwon@rdlegalcapital.com

Subject: Re: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Got it. Thank you.

Orran

On Apr 10, 2018, at 3:39 PM, Megan Abner < mabner@BSFLLP.com > wrote:

Dear Mr. Brown and Mr. Rosenthal,

Please see attached for correspondence regarding the above-mentioned matter from Michael Roth.

Thank you,

Megan Abner

Legal Assistant

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 (t) +1 (213) 629-9040 mabner@bsfllp.com www.bsfllp.com

<2018-04-10 Brown re Stewart Distribution.pdf>

EXHIBIT 3-A

NFL CONCUSSION SETTLEMENT IN THE NATIONAL FOOTBALL LEAGUE PLAYERS CONCUSSION INJURY LITIGATION No. 2: 12-md-02323 (E.D. Pa.)

WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT
DEADLINE FOR RECEIPT OF COMPLETED WAIVER: APRIL 12, 2018

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. To do so, Third Party Funders must execute this Waiver Relinquishing Rights Under Attempted Assignment (this "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment, and return it to the Claims Administrator within 30 days of the date that the Claims Administrator issued it. This deadline is printed in the banner heading above.

The Claims Administrator will withhold – from the Class Member's monetary award – the amount of money that the Third-Party Funder has already paid to the Class Member and that the Class Member has not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. The Class Member confirms the monetary amount indicated in Section III below.

If the Class Member is represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver to the Class Member's lawyer.

If the Class Member is <u>not</u> represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver directly to the Claims Administrator.

| | | L. | | SETTLEMENT C | LASS N | MEMBER INFO | RMATION | |
|--|-----------|-------------|-----|--|----------------|--------------|---------|-------|
| Settlement | Program | m ID | 1(| 00014616 | | | | |
| Name | First | Andrew | | | M.I. | Last Stev | wart | |
| Settlement Class Member Type Retired NFL Football Player | | | | | | | | |
| Lawyer Rosenthal Lurie & Broudy LLC | | | | | | | | |
| | | | II. | THIRD-PAR | TY F UN | IDER INFORMA | TION | |
| Name | | RD Le | ga | 1 Finance | e,l | IC | | |
| Employer lo | dentifica | ation Numbe | r | | | | | |
| Address | | | | Street 45 Legion Drive, 2nd Floor City Cressvill State Zip Code | | | | |
| | | | | Clessic | М | | んじ | 07626 |

539061 1/16/18

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Page 1 of 5

From: Stuart Lurie Fax: (215) 600-1728 To: Fax: (201) 568-8307 Page 4 of 7 03/13/2018 2:12 PM

| Name of Authorized Business Representative | | Rom Dersoutz | |
|--|--------------------|-----------------------|--|
| Title of Authorized Busin | ess Representative | President | |
| Email Address | rdersovi | tz Olegal funding com | |
| Phone Number | | 1516181-191010171 | |
| | | | |

III. DETAILS OF AGREEMENT(S) BETWEEN THIRD-PARTY FUNDER AND CLASS MEMBER

Name and Date of Agreement(s) Between Third-Party Funder and Class Member. Enter the names (e.g., Funding Agreement, Promissory Note, Security Agreement, etc.) of the agreements between the Third-Party Funder and the Class Member in which the Class Member assigned or attempted to assign a monetary claim to the Third-Party Funder, together with the effective dates of those agreements. If one transaction includes multiple agreements, list them all. If there are more than five agreements, list additional agreements on a separate page.

| Name of Agreement Document Effective | | Date of Agreement | |
|--|------------------------------------|-------------------|--|
| 1. Assignment and Sale Agreement | Assignment and Sale Agreement Janu | | |
| 2. | | 7 | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| Amount Third-Party Funder Paid to Class Member. Enter the total amount of money that the Third-Party Funder has already paid to the Class Member. | | \$ 343,120,53 | |
| Amount Class Member Has Returned to Third-Party Funder. If applicable, enter the total amount of money that the Class Member has returned to the Third-Party Funder. | | \$_0,00 | |

NOTE: Upon receipt of this completed Waiver signed by the Third-Party Funder and the Attachment A signed by the Class Member, the Claims Administrator will withhold the amount the Third-Party Funder has paid to the Class Member, minus any amount the Class Member has returned to the Third-Party Funder, from the Class Member's Monetary Award payment and direct that amount to the Third-Party Funder.

IV. ACCEPTANCE OF RESCISSION AND WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT

By signing this Waiver and accepting the amount noted at Section III above, the Third-Party Funder accepts rescission and relinquishes any and all claims or rights under each and every agreement, in its entirety, between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. The Third-Party Funder will not seek any further payment from the Class Member, the Class Member's estate, or any other party, by any collection method, as a result of the agreement(s) noted above and certifies by signing this Waiver that the Third-Party Funder accepts the amount noted as full and complete repayment of any and all amounts due from the Class Member. Additionally, the Third-Party Funder waives any and all claims against the Class Member's attorney, the Claims Administrator, and the Trustee of the Settlement Trust Account.

539061

www.NFLConcussionSettlement.com Page 2 of 5 From: Stuart Lurie

| | V. | PAYMENT ELE | CTION INFORMA | TION FOR THIRD | PARTY I | FUNDER |
|-------------------------|--|----------------|---------------|-----------------------------------|---------|----------|
| Payment Method Wire Tra | | | ansfer 🔯 | Check [| | |
| | V(A). | | | RECEIVE PAYME | | |
| 1. | BANK NAME | | BMO P | farris Ba | nk, 1 | N.A. |
| 2. | BANK ABA ROUTING NUMBER | | | - 0. | / | |
| 3. | 3. ACCOUNT NAME | | | | | |
| 4. | ACCOUNT NUMBER | | | | | |
| 5. | INTERMEDIARY B | ANK NAME (IF A | PPLICABLE) | | | |
| 6. | INTERMEDIARY BANK ABA ROUTING NUMBER (IF APPLICABLE) | | | | | |
| 7. | FOR FURTHER C | REDIT INSTRUCT | ПОМ | | | |
| | V (B). | | | RECEIVE PAYMENT Hod selected is C | | IECK |
| 1. | PAYEE NAME | | | | | |
| | | | Street | | | |
| 2. | MAILING ADDRES | SS | City | | State | Zip Code |

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VI. SIGNATURE OF THIRD-PARTY FUNDER

This Waiver is an official document submitted in connection with the Class Action Settlement in In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323. By signing this Waiver, the Third-Party Funder accepts rescission and relinquishes any claims or rights under the entire agreement between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information

| provided in this | Waiver is true and correct t | to the best of my knowledge, information and belief. | | | |
|----------------------------------|------------------------------|---|--|--|--|
| Signature | 1261 | Date 4/10/2018 | | | |
| Printed Name | First Roni | M.I. Last Dessoutz | | | |
| Title | Manager | | | | |
| | VII. Ho | DW TO SUBMIT THIS WAIVER | | | |
| Complete this Wusing one of thes | | it to the Claims Administrator. You may submit this Waiver | | | |
| By Online Portal: | | Go to your secure online portal with the Claims Administrator and upload this signed PDF. | | | |
| By Email: | | ClaimsAdministrator@NFLConcussionSettlement.com | | | |
| By Mail: | | NFL Concussion Settlement Claims Administrator P.O. Box 25369 Richmond, VA 23260 | | | |
| By Delivery: | | NFL Concussion Settlement c/o BrownGreer PLC 250 Rocketts Way Richmond, VA 23231 | | | |

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CONCUSSION SETTLEMENT

IN RE. NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT ATTACHMENT A

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. This means that they will accept the return of the funds they extended to you in lieu of enforcing the purported agreement you entered into with the Third-Party Funder. To accept rescission, Third Party Funders must execute a Waiver Relinquishing Rights Under Attempted Assignment (the "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment.

The Claims Administrator will withhold - from your monetary award - the amount of money that the Third-Party Funder has already paid to you and that you have not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. You confirm the monetary amount indicated in Section III of the Waiver.

You must sign this Attachment A to confirm the amount indicated in Section III of the Waiver.

SIGNATURE OF SETTLEMENT CLASS MEMBER

This Attachment A to the Waiver is an official document submitted in connection with the Class Action Settlement in In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323. By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in the Waiver is true and correct to the best of my knowledge, information and belief.

| Signature by Settlement Class Member | | | Date | |
|--------------------------------------|-------|------|------|--|
| Printed Name | First | M.(: | Last | |

539061 1/16/18 www.NFLConcussionSettlement.com Page 5 of 5

EXHIBIT 4

From: <u>Michael Rosenthal</u>
To: <u>Michael Roth</u>

Subject: Re: NFL Players" Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Date: Wednesday, April 11, 2018 11:14:16 AM

Michael

Andrew will not sign the waiver.

Michael H. Rosenthal Rosenthal Lurie & Broudy LLC 102 Pickering Way, STE 310 Exton, PA 19341 (p) 215.496.9404 (f) 215.600.1728 Michael@RLBlawgroup.com

On Apr 10, 2018, at 7:04 PM, Michael Roth < MRoth@BSFLLP.com> wrote:

Attached please find the Waiver Form pertaining to Andrew Stewart executed by RD Legal Finance, LLC.

Regards.

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 725 South Figueroa Street Los Angeles, CA 90017 (t) (213) 629-9040 (f) (213) 629-9022

mroth@bsfllp.com www.bsfllp.com

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From: Orran Brown [mailto:OBrown@browngreer.com]

Sent: Tuesday, April 10, 2018 12:55 PM

To: Megan Abner

Cc: <u>michael@RLBlawgroup.com</u>; Michael Roth; David Willingham;

rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com

Subject: Re: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Got it. Thank you.

Orran

On Apr 10, 2018, at 3:39 PM, Megan Abner < mabner@BSFLLP.com > wrote:

Dear Mr. Brown and Mr. Rosenthal,

Please see attached for correspondence regarding the abovementioned matter from Michael Roth.

Thank you,

Megan Abner

Legal Assistant

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 (t) +1 (213) 629-9040 mabner@bsfllp.com www.bsfllp.com

<2018-04-10 Brown re Stewart Distribution.pdf>

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<Stewart Waiver Form.pdf>

EXHIBIT 5

From: Michael Roth

To: Orran Brown; michael@RLBlawgroup.com; ClaimsAdministrator@NFLConcussionSettlement.com
Cc: David Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Amy Hirsch

(ahirsch@rdlegalcapital.com)

Subject: RE: NFL Players" Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Date: Wednesday, April 11, 2018 1:28:32 PM

Attachments: Stewart Waiver Form.pdf

Ex B-2 2016.01.28 (Stewart, Andrew) Executed A&S #1.pdf 2016.01.29 RDL Finance Series D to Andrew Stewart.pdf 2016.01.29 RDL Finance Series D to Peachtree.pdf

2016.01.29 RDL Finance Series D to Andrew Stewart, Peachtree - released.pdf

Dear Mr. Brown,

We were informed today by Michael Rosenthal, Andrew Stewart's counsel, that Mr. Stewart is refusing to sign the portion of the attached Waiver Form acknowledging the amount to be returned to RD Legal.

Rule 8(b) of the Rules Governing Assignment of Claims covers this situation and provides: "If the Settlement Class Member has not agreed to the amount advanced that has not been repaid, the Claims Administrator and the Special Master will determine the correct amount from the materials submitted."

We understand that the Assignment Review already conducted involved the review of Mr. Stewart's Assignment and Sale Agreement. For convenience, that agreement is attached to this email and plainly states that the advance to Mr. Stewart was \$343,120.53. I have also attached documentation showing that \$343,120.53 was paid by RD Legal to or on behalf of Mr. Stewart.

If any additional documentation is needed to confirm the amount, please let me know.

Regards.

Michael

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 725 South Figueroa Street Los Angeles, CA 90017 (t) (213) 629-9040 (f) (213) 629-9022

mroth@bsfllp.com www.bsfllp.com

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From: Michael Roth

Sent: Tuesday, April 10, 2018 4:05 PM

To: 'Orran Brown'; michael@RLBlawgroup.com

Cc: David Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Megan Abner

Subject: RE: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Attached please find the Waiver Form pertaining to Andrew Stewart executed by RD Legal Finance, LLC.

Regards.

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31 st Floor Los Angeles, CA 90017 725 South Figueroa Street Los Angeles, CA 90017 (t) (213) 629-9040 (f) (213) 629-9022 mroth@bsfllp.com www.bsfllp.com

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From: Orran Brown [mailto:OBrown@browngreer.com]

Sent: Tuesday, April 10, 2018 12:55 PM

To: Megan Abner

Cc: <u>michael@RLBlawgroup.com</u>; Michael Roth; David Willingham; <u>rdersovitz@legalfunding.com</u>;

PKwon@rdlegalcapital.com

Subject: Re: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Got it. Thank you.

Orran

On Apr 10, 2018, at 3:39 PM, Megan Abner < mabner@BSFLLP.com > wrote:

Dear Mr. Brown and Mr. Rosenthal,

Please see attached for correspondence regarding the above-mentioned matter from Michael Roth.

Thank you,

Megan Abner

Legal Assistant

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 (t) +1 (213) 629-9040 mabner@bsfllp.com www.bsfllp.com

<2018-04-10 Brown re Stewart Distribution.pdf>

EXHIBIT 5-A

From: Stuart Lurie

NFL CONCUSSION SETTLEMENT IN THE NATIONAL POOTBALL LEAGUE PLAYERS CONCUSSION INJURY LITIGATION No. 2: 12-nd-02323 (E.D. Pa.)

WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT DEADLINE FOR RECEIPT OF COMPLETED WAIVER: APRIL 12, 2018

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. To do so, Third Party Funders must execute this Waiver Relinquishing Rights Under Attempted Assignment (this "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment, and return it to the Claims Administrator within 30 days of the date that the Claims Administrator issued it. This deadline is printed in the banner heading above.

The Claims Administrator will withhold – from the Class Member's monetary award – the amount of money that the Third-Party Funder has already paid to the Class Member and that the Class Member has not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. The Class Member confirms the monetary amount indicated in Section III below.

If the Class Member is represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver to the Class Member's lawyer.

If the Class Member is <u>not</u> represented by a lawyer, the Third-Party Funder must submit the completed, signed Waiver directly to the Claims Administrator.

| | | L. | | SETTLEMENT C | CLASS N | MEMBER INFO | RMATION | |
|------------------------------|-----------|-------------|-------------|-----------------------------|---------|--------------|----------|-------|
| Settlement | Program | m ID | 1(| 00014616 | | | | |
| Name | First | Andrew | | | M.I. | Last Stewart | | |
| Settlement Class Member Type | | | • | Retired NFL Football Player | | | | |
| Lawyer Rosenthal Lu | | | l Lu | urie & Broudy LLC | | | | |
| | | | II. | THIRD-PAR | TY FUN | DER INFORMA | ATION | |
| Name | | RD Le | ga | 1 Finance | e,l | LC | | |
| Employer lo | dentifica | ation Numbe | r | | | | | |
| Address | | | Street Legi | 00 | Drive, | 2nd FT | Zip Code | |
| | | | | Cressky | M | | NJ | 07626 |

539061 1/16/18

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Page 1 of 5

From: Stuart Lurie Fax: (215) 600-1728 To: Fax: (201) 568-8307 Page 4 of 7 03/13/2018 2:12 PM

| Name of Authorized Busi | ness Representative | Roni Dersoutz |
|----------------------------|---------------------|-----------------------|
| Title of Authorized Busine | ess Representative | President |
| Email Address rdersovin | | tz Olegal funding com |
| Phone Number | | 1516181-191010171 |
| | | |

III. DETAILS OF AGREEMENT(S) BETWEEN THIRD-PARTY FUNDER AND CLASS MEMBER

Name and Date of Agreement(s) Between Third-Party Funder and Class Member. Enter the names (e.g., Funding Agreement, Promissory Note, Security Agreement, etc.) of the agreements between the Third-Party Funder and the Class Member in which the Class Member assigned or attempted to assign a monetary claim to the Third-Party Funder, together with the effective dates of those agreements. If one transaction includes multiple agreements, list them all. If there are more than five agreements, list additional agreements on a separate page.

| Name of Agreement Document | Date of Agreement | |
|--|-------------------|---|
| 1. Assignment and Sale Agreement | ary 22, 2016 | |
| 2. | |) |
| 3. | | |
| 4. | | |
| 5. | | |
| Amount Third-Party Funder Paid to Class Member. Enter the total money that the Third-Party Funder has already paid to the Class Me | \$ 343,120,53 | |
| Amount Class Member Has Returned to Third-Party Funder. If a the total amount of money that the Class Member has returned to the Funder. | \$_0,00 | |

NOTE: Upon receipt of this completed Waiver signed by the Third-Party Funder and the Attachment A signed by the Class Member, the Claims Administrator will withhold the amount the Third-Party Funder has paid to the Class Member, minus any amount the Class Member has returned to the Third-Party Funder, from the Class Member's Monetary Award payment and direct that amount to the Third-Party Funder.

IV. ACCEPTANCE OF RESCISSION AND WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT

By signing this Waiver and accepting the amount noted at Section III above, the Third-Party Funder accepts rescission and relinquishes any and all claims or rights under each and every agreement, in its entirety, between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. The Third-Party Funder will not seek any further payment from the Class Member, the Class Member's estate, or any other party, by any collection method, as a result of the agreement(s) noted above and certifies by signing this Waiver that the Third-Party Funder accepts the amount noted as full and complete repayment of any and all amounts due from the Class Member. Additionally, the Third-Party Funder waives any and all claims against the Class Member's attorney, the Claims Administrator, and the Trustee of the Settlement Trust Account.

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Page 2 of 5

From: Stuart Lurie

| | V. PAYMENT E | LECTION INFORMA | TION FOR THIRD-PARTY | FUNDER |
|-------|---------------------------|------------------|--|-------------|
| Payme | ent Method Wire | Transfer 🔯 | Check | |
| | | | RECEIVE PAYMENT BY We thou selected is Wire Tr | |
| 1. | BANK NAME | Bmo A | Farris Bank, | N.A. |
| 2. | BANK ABA ROUTING NUMBER | 2 | - 2. | |
| 3. | ACCOUNT NAME | | | |
| 4. | ACCOUNT NUMBER | | | |
| 5. | INTERMEDIARY BANK NAME (I | F APPLICABLE) | | |
| 6. | INTERMEDIARY BANK ABA R | OUTING NUMBER (I | F APPLICABLE) | |
| 7. | FOR FURTHER CREDIT INSTRU | UCTION | | |
| | | | RECEIVE PAYMENT BY Control of the co | IECK |
| 1. | PAYEE NAME | | | |
| 2. | MAILING ADDRESS | Street | | |
| ۷. | WAILING ADDRESS | City | State | Zip Code |

539061 1/16/18 www.NFLConcussionSettlement.com Page 3 of 5 From: Stuart Lurie

SIGNATURE OF THIRD-PARTY FUNDER

This Waiver is an official document submitted in connection with the Class Action Settlement in *In re: National Football League Players' Concussion Injury Litigation, MDL No.* 2323. By signing this Waiver, the Third-Party Funder accepts rescission and relinquishes any claims or rights under the entire agreement between the Class Member and the Third-Party Funder creating the assignment or attempted assignment. By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in this Waiver is true and correct to the best of my knowledge, information and belief.

VI.

| provided in this | Waiver is true and correct to | o the best of my knowledge, information and belief. | | | |
|-----------------------------------|-------------------------------|---|--|--|--|
| Signature | 1261 | Date 4/10/2018 | | | |
| Printed Name | First Roni | M.I. Last Dessoutz | | | |
| Title | Manages | | | | |
| | | OW TO SUBMIT THIS WAIVER | | | |
| Complete this W using one of thes | | it to the Claims Administrator. You may submit this Waiver | | | |
| By Online Porta | d: | Go to your secure online portal with the Claims Administrator and upload this signed PDF. | | | |
| By Email: | | ClaimsAdministrator@NFLConcussionSettlement.com | | | |
| By Mail: | | NFL Concussion Settlement Claims Administrator P.O. Box 25369 Richmond, VA 23260 | | | |
| By Delivery: | | NFL Concussion Settlement c/o BrownGreer PLC 250 Rocketts Way Richmond, VA 23231 | | | |

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CONCUSSION SETTLEMENT IN RE. NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

WAIVER RELINQUISHING RIGHTS UNDER ATTEMPTED ASSIGNMENT ATTACHMENT A

In the Explanation and Order dated 12/8/17 (the "Order"), the Court ruled that any agreement entered into by a Class Member that assigned or attempted to assign monetary claims is void and invalid. The Claims Administrator is prohibited from paying a Class Member's monetary award to any third-party that holds an assignment or attempted assignment ("Third-Party Funder").

The Order provides an opportunity for Third-Party Funders to accept rescission. This means that they will accept the return of the funds they extended to you in lieu of enforcing the purported agreement you entered into with the Third-Party Funder. To accept rescission, Third Party Funders must execute a Waiver Relinquishing Rights Under Attempted Assignment (the "Waiver"), thereby relinquishing any claims to rights under the agreement that created the assignment or attempted assignment.

The Claims Administrator will withhold - from your monetary award - the amount of money that the Third-Party Funder has already paid to you and that you have not returned to the Third-Party Funder, if:

- 1. The Third-Party Funder has provided a completed, signed Waiver to the Claims Administrator; and
- 2. You confirm the monetary amount indicated in Section III of the Waiver.

You must sign this Attachment A to confirm the amount indicated in Section III of the Waiver.

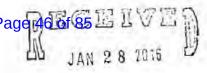
SIGNATURE OF SETTLEMENT CLASS MEMBER

This Attachment A to the Waiver is an official document submitted in connection with the Class Action Settlement in In re: National Football League Players' Concussion Injury Litigation, MDL No. 2323. By signing below, I declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that all information provided in the Waiver is true and correct to the best of my knowledge, information and belief.

| Signature by Settlement Class Member | | | Date | |
|--------------------------------------|-------|------|------|--|
| Printed Name | First | M.(: | Last | |

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EXHIBIT 5-B



THIS IS A LEGAL DOCUMENT AND SHOULD BE EXECUTED UNDER THE. SUPERVISION OF AN ATTORNEY

ASSIGNMENT AND SALE AGREEMENT

This Assignment and Sale Agreement (the "Agreement") is made on January 22, 2016, between Andrew S. Stewart ("you"), with residences located at the following three addresses:

and RD Legal Finance, LLC

("RDL Finance", "we" or "us"), with offices located at 45 Legion Drive, 2nd Floor, Cresskill, NJ 07626 (each a "Party", and together the "Parties"). If more than one individual is named above, "you" shall mean each and every such individual.

DISCLOSURE STATEMENT

Total amount to be paid to you under this Agreement for the

portion of your Award that we are purchasing: \$343,120.53

2. Fees: \$-0-

Amount of your Award that we are purchasing: \$665,234.45

4. Additional amount that we will pay you if we recover the full amount of the portion of the Award that we are purchasing:

> in 6 months: \$290,514.79 \$258,184.71 in 12 months: in 18 months: \$223,065.25 in 24 months: \$184,915.75 in 30 months: \$143,474.79 \$ 98,458.39 in 36 months: in 42 months: \$ 49,558.06 in 48 months: \$ -0-

5. Annual percentage rate of return on our investment compounded annually 18%

YOUR ATTENTION IS DRAWN TO THE DISPUTE RESOLUTION PROVISION IN SECTION 8. IF A DISPUTE ARISES BETWEEN US, YOU OR WE MAY REQUIRE THAT IT BE RESOLVED THROUGH BINDING ARBITRATION, RATHER THAN BY JURY TRIAL.

WHEREAS, you are the client of Rosenthal Lurie LLC, the law firm that represented you and other plaintiff(s), with reference to In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323 (the "Case"), and you have an interest in the Case;

WHEREAS, per the Settlement Agreement amended and filed February 13, 2015, along with the Amended Final Court Order and Judgment dated May 08, 2015, the Monetary Award Fund to be overseen by Special Masters Wendell Pritchett and Jo-Ann M. Verrier and Claims

Administrator BrownGreer, PLC is to be set-up for claimants who are deemed to have proved they have a Qualifying Diagnosis based on the requirements as set-forth in the Settlement Agreement, from which an award is anticipated to be received by you in the amount of \$2,470,000.00 (the "Award") based upon your qualified medical diagnosis indicating Parkinson's Disease and prior to any Offsets and/or Set-asides being applied.

WHEREAS, you own all right, title and interest in the Award;

WHEREAS, you wish to receive an immediate lump sum cash payment in return for selling and assigning a portion of the Award, which may be subject to increase upon appeal, to RDL Finance; and

NOW, THEREFORE, you and we agree as follows:

1. Assignments and Consideration

- (a) You hereby sell, transfer and assign to RDL Finance your interest in \$665,234.45 of the Award and any future payments made in satisfaction of the Award (the "Property" or "Property Amount") free and clear of any and all liens, claims and interests on or in the Award held, obtained or claimed by any party including without limitation any attorney's fees payable with respect to the Award ("Adverse Interests").
- (b) In return for the Property, RDL Finance will pay to you the sum of \$343,120.53 (Three Hundred Forty Three Thousand One Hundred Twenty Dollars and 53 Cents) (the "Purchase Price").
 - (i) You consent to have a portion of the Purchase Price in the amount of \$243,830.00 applied to pay-off the current outstanding lien(s) along with any penalties and interest that may have accrued with reference to Peachtree Pre-Settlement Funding SPV, LLC payoff confirmation letter dated July 14, 2015.
- (c) This transaction is a true sale and assignment of the Property to RDL Finance and provides RDL Finance with the full risks and benefits of ownership of the Property. However, you retain all obligations, liabilities and expenses under or in respect of the Award.
- (d) Notwithstanding that you and we intend that this Agreement is a true sale, we may at our option and in addition to all other notices provided for in this Agreement, and without your signature or further authorization, file a financing statement and amendments under the Uniform Commercial Code ("UCC") giving notice of this Agreement. This would be done in an abundance of caution to protect our interest in the Property in the event that this sale and assignment might be characterized in a judicial, administrative or other proceeding as a loan or other financial accommodation and not as a true sale, or as being for any reason ineffective or unenforceable.

You grant to RDL Finance a security interest within the meaning of Article 9 of the UCC in your interest in and to the Property to secure advancement of the Purchase Price plus interest calculated at 19.562 percent per annum or the maximum rate permitted by law in the jurisdiction in which you reside, whichever is less.

If the transaction under this Agreement were determined to be a loan rather than an absolute sale despite the intent of the Parties, and the implied interest on such loan would violate any law or regulation, then the amount of interest that would otherwise be payable hereunder shall be reduced to the maximum amount allowed under said laws or regulations.

2. Procedure for Paying Property Amount to RDL Finance

The entire Property Amount will be paid to RDL Finance from any funds received in full or partial satisfaction of the Award, regardless of the source of those funds, before any payment is made from the Award to you or any other person.

- 3. Representations, Warranties and Assignments: You represent and warrant to RDL Finance that:
 - (a) Rosenthal Lurie LLC are and have been your attorneys in the Case, the Award is true and correct, and you are entitled to the Property Amount without any reduction or defense.
 - (b) You own the Property free and clear of any Adverse Interests and have the unrestricted right to assign the Property to RDL Finance. You have not previously sold or assigned the Property, in whole or in part, to any other party. Upon RDL Finance's payment to you of the Purchase Price, RDL Finance will own the Property free and clear of any Adverse Interests.
 - (c) You have the legal capacity to execute and perform this Agreement.
 - (d) You are under no contractual or other restrictions or obligations which are or might be inconsistent with the execution of this Agreement or the sale and assignment of the Property.
 - (e) The execution, delivery and performance of this Agreement, and the consummation of the transactions contemplated in this Agreement, will not violate any law, rule, regulation, order, agreement or instrument affecting you or the Property.
 - (f) There are no bankruptcy or insolvency proceedings in progress or in prospect affecting you, the Property or any of your assets. You are not the subject of any legal proceeding. The Property has not been and is not in jeopardy of being subject to a levy or any type of Adverse Interest.

- (g) All of the information that you have provided to RDL Finance is true and complete in all respects. You understand that RDL Finance has relied and will continue to rely on this information in acquiring and dealing with the Property.
- (h) You have not engaged in any acts or conduct or made any omissions that will potentially result in RDL Finance receiving less in payments for the Property than is received by other parties holding a similar interest in the Judgment.
- (i) You have paid all federal, state and local taxes due through and including this date or has made adequate provision for such payment. There are no outstanding: (i) tax liens or judgments against you or the Property, (ii) liens owed by you to any County, City or State Government entity; or (iii) liens owed by you to the United States Government or other person or entity for any social service or other benefit that you have received and are obligated to repay.
- (j) This Agreement constitutes your legal, valid and binding obligation and is enforceable against you in accordance with its terms.
- (k) You are not indebted to any present or former spouse for support, maintenance or similar obligations, or to any child or any guardian of a child for any child support or similar payments.
- (1) You have made an independent analysis and decision to enter into the Agreement, based on such information as you have deemed appropriate under the circumstances, and without reliance on us (except for reliance on any express representations, warranties and covenants made by us pursuant to this Agreement).
- (m) No payment or other distribution has been received by or on behalf of you on or before the date of this Agreement, in full or partial satisfaction of the Award.
- (n) You have not transferred, assigned or sold the Property to any other party, or granted to any other party, a participation or other interest in the Property.

4. Covenants. You covenant to RDL Finance that:

(a) If before payment of the full Purchase Price RDL Finance discovers that there has been a breach of any of the representations, warranties or covenants of this Agreement (a "Breach"), RDL Finance may pursue any or all of the following remedies: (i) apply all or any portion of the Purchase Price to cure the Breach including, without limitation, to satisfy and remove any Adverse Interests and reimburse RDL Finance for the costs of doing so; (ii) terminate its obligations under this Agreement at which time you will return to RDL Finance the Purchase Price, plus interest calculated at 19.562 percent per annum, or the maximum rate permitted by law in the jurisdiction in which you resides, whichever is less,

- calculated from the date of payment of the Purchase Price to the date of repayment.
- (b) When requested, you will promptly execute any additional documents and take whatever further actions RDL Finance reasonably determines are necessary or desirable to perfect the assignment of the Property to RDL Finance or to carry out the terms of this Agreement.
- (c) You will immediately advise RDL Finance if you receive any portion of the Award and, within five Business Days (defined as every day except Sundays and federal holidays) thereafter, will pay that portion or the Property Amount, whichever is less, to RDL Finance in accordance with RDL Finance's instructions. If you fail to do so, you will be in breach of the Agreement.
- (d) At RDL Finance's request, you will notify the accounting firm or attorney responsible for distribution of the funds to satisfy the Award (and RDL Finance may also notify that person or firm) of the terms of this Agreement and will direct that person or firm to pay the Property Amount to RDL Finance instead of (and not to) you.
- (e) You understand that you are giving up all of your interest in the Property.
- (f) You will notify RDL Finance in writing of any of the following events as soon as possible but no later than five Business Days after you discover that the event has occurred (unless another timeframe is indicated):
 - A Breach occurs;
 - II. You file for bankruptcy, become the subject of a tax lien or other lien, become indebted to any present or former spouse for support, maintenance or similar obligations, or become indebted to any child or to a guardian of any child for any child support or similar payments;
 - III. Any other event which could potentially encumber the Property; or
 - IV. A change in the information that RDL Finance may use to contact you (including, but not limited to a change of address, telephone number, etc.).

The required written notice will be (i) sent by overnight courier or registered mail, postage prepaid and return receipt requested, or (ii) transmitted by facsimile and confirmed by a similar mailed writing, to the following address:

RD Legal Finance, LLC 45 Legion Drive, 2nd Floor Cresskill, NJ 07626 Attn: Roni Dersovitz Phone: (201) 568-9007

Fax: (201) 568-9307

or to such other address or addresses that RDL Finance may otherwise provide. The notice required by this provision shall be considered to have been given by you when it is received by RDL Finance.

5. Other Agreements

- (a) Excess Payment to RDL Finance. If RDL Finance receives payment with respect to the Case in an amount that exceeds the Property Amount, RDL Finance will promptly pay the excess amount to you.
- (b) <u>Best Evidence</u>. In any proceeding involving this Agreement or any other document related to this Agreement, a photocopy or digital copy of this Agreement will be irrefutable proof and constitute the best evidence of the Agreement and neither you nor we will object to it.
- (c) Equitable Relief. Each of the Parties will be irreparably harmed if any of the provisions of this Agreement is not performed in accordance with its terms or is breached, and will thereby be entitled to the remedy of specific performance or injunctive or similar equitable relief, in addition to any other remedy available at law or in equity.
- (d) <u>Entire Agreement</u>. This Agreement contains the entire agreement between the Parties, supersedes all previous oral or written agreements with regard to the same subject matter, and may be modified only by a written instrument duly executed by both Parties.
- (e) Enforcement. The prevailing Party in any action or other proceeding involving the interpretation, defense, or enforcement of this Agreement will be entitled to recover its reasonable attorney's fees and expenses from the unsuccessful Party. Reasonable attorney's fees and expenses will be presumed to be the product of the number of hours spent on the matter by the prevailing Party's attorney and the hourly billing rate charged to the prevailing Party by its attorney in similar matters (subject to rebuttal only by the introduction of competent evidence to the contrary).
- (f) Assignment. RDL Finance may, and you may not, assign this Agreement or any of the rights, interests or obligations under this Agreement to any other person. RDL Finance may also pledge or grant a security interest in the Property. This Agreement is binding on the Parties' successors [heirs] and permitted assignees.
- (g) <u>Third-Party Beneficiary.</u> This Agreement does not create, and shall not be construed to create, any rights enforceable by any person that is not a Party to this Agreement, other than a permitted assignee of RDL Finance.
- (h) No Recourse. RDL Finance is purchasing all of your interest in the Property without recourse against you (other than for a Breach). This means that, in the event RDL Finance for any reason (other than your Breach of this Agreement)

- does not receive all of the Property Amount, you will have no obligation to pay RDL Finance any portion of the Purchase Price that RDL Finance paid to you.
- (i) Severability. If any portion of this Agreement is determined by a court of competent jurisdiction to be unenforceable, the remainder of the Agreement will continue in full force and effect unless a failure of consideration would result.
- 6. Governing Law; Exclusive Jurisdiction: This Agreement is governed by and construed in accordance with the laws of the State of New Jersey. If a lawsuit or other proceeding arises out of this Agreement, the Parties consent to the exclusive jurisdiction of the State or Federal Courts of the State of New Jersey. The Parties waive any objection that they may have, now or later, to the venue of any lawsuit or proceeding or that any such lawsuit or proceeding is brought in an inconvenient court.

7. DISPUTE RESOLUTION

PLEASE READ THIS PROVISION OF THE AGREEMENT CAREFULLY. THIS SECTION CONTAINS IMPORTANT INFORMATION REGARDING HOW DISPUTES UNDER THIS AGREEMENT WILL BE RESOLVED. IT PROVIDES THAT EITHER YOU OR WE CAN REQUIRE THAT ANY DISPUTES BE RESOLVED BY BINDING ARBITRATION. ARBITRATION REPLACES THE RIGHT TO GO TO COURT, INCLUDING THE RIGHT TO PARTICIPATE IN A CLASS ACTION OR SIMILAR PROCEEDING. IN ARBITRATION, THE DISPUTE IS SUBMITTED TO A NEUTRAL PARTY, AN ARBITRATOR, INSTEAD OF A JUDGE OR JURY. ARBITRATION PROCEDURES ARE SIMPLER AND MORE LIMITED THAN RULES APPLICABLE IN COURT.

Agreement to Arbitrate Disputes. Either you or we may elect, without the other's consent, to require that any dispute between us relating to this Agreement be resolved by binding arbitration.

Disputes Covered by Arbitration. Any claim or dispute relating to or arising out of this Agreement, or our relationship will be subject to arbitration. All disputes are subject to arbitration, no matter on what legal theory they are based or what remedy (damages, or injunctive or declaratory relief) they seek. Disputes include any unresolved claims concerning payments made or due under the terms of this Agreement, any Breach of this Agreement or of any of the representations, warranties or covenants agreed to under this Agreement.

Disputes include not only claims made directly by you, but also made by anyone connected with you or claiming through you, such as a parent, child, guardian, representative, agent, heir, or trustee in bankruptcy. Disputes also include not only claims that relate directly to RDL Finance, but also its parent, affiliates, successors, assignees, employees, and agents and claims for which we may be directly or indirectly liable, even if we are not properly named at the time the claim is made. Disputes include claims based on any theory of law, contract, statute, regulation, tort (including fraud or any intentional tort), or any other legal or equitable ground,

and include claims made as counterclaims, cross-claims, third party claims, interpleaders or otherwise.

A Party who initiates a proceeding in court may elect arbitration with respect to any dispute advanced in that proceeding by any other party. Disputes include claims made as part of a class action or other representative action, it being expressly understood and agreed to that the arbitration of such claims must proceed on an individual (non-class, non-representative) basis. Disputes also include claims relating to the enforceability or interpretation of any of these arbitration provisions. Any questions about whether disputes are subject to arbitration shall be resolved by interpreting this arbitration provision in the broadest way the law will allow it to be enforced.

Commencing an Arbitration. The Party filing an arbitration must choose one of the following neutral arbitration forums and follow its rules and procedures for initiating and pursuing an arbitration: American Arbitration Association or JAMS. If you initiate the arbitration, you must notify us in writing at RD Legal Finance, LLC, 45 Legion Drive, 2nd Floor, Cresskill, NJ 07626, Attn: Roni Dersovitz. If we initiate the arbitration, we will notify you in writing at your last known address on file. You may obtain a copy of the arbitration rules for these forums, as well as additional information about initiating an arbitration by contacting these arbitration forums:

American Arbitration Association JAMS

1-800-778-7879 (toll-free) 1-800-352-5267 (toll-free) Website: www.jamsadr.com.

The arbitration shall be conducted in the same city as the U.S. District Court closest to your home address, unless the parties agree to a different location in writing.

Administration of Arbitration. The arbitration will be decided by a single, neutral arbitrator. The arbitrator will be either a lawyer with at least ten years experience or a retired or former judge, selected in accordance with the rules of the arbitration forum. The arbitrator shall follow procedures and rules of the arbitration forum in effect on the date the arbitration is filed unless those rules and procedures are inconsistent with this arbitration provision, in which case this arbitration provision will prevail. Those procedures and rules may limit the discovery available to you or us. The arbitrator will take reasonable steps to protect personal information and other confidential information if requested to do so by you or us. The arbitrator shall decide the dispute in accordance with applicable substantive law consistent with the Federal Arbitration Act and applicable statutes of limitations, will honor claims of privilege recognized at law, and will be empowered to award any damages or other relief provided for under applicable law. The arbitrator will not have the power to award relief to, or against, any person who is not a party to the arbitration.

An award in arbitration shall determine the rights and obligations between the named parties only, and only in respect of the claims in arbitration, and shall not have any bearing on the rights and obligations of any other person, or on the resolution of any other dispute. You or we may choose to have a hearing and be represented by counsel. The decision rendered by the

arbitrator shall be in writing; however, the arbitrator need not provide a statement of his reasons unless one is requested by you or us.

Costs. The Party initiating the arbitration shall pay the initial filing fee. If you file the arbitration and an award is rendered in your favor, we will reimburse you for your filing fee. If there is a hearing, we will pay the fees and costs for the first day of that hearing. All other fees and costs will be allocated in accordance with the rules of the arbitration forum. However, we will advance or reimburse filing and other fees if the arbitrator rules that you cannot afford to pay them or finds other good cause for requiring us to do so, or if you ask us and we determine there is good reason for doing so. Each party shall bear the expense of their respective attorneys, experts, and witnesses and other expenses, regardless of who prevails, but a party may recover any or all expenses from another party if the arbitrator, applying applicable law, so determines.

No Class Action or Joinder of Parties. You and we agree that no class action, private attorney general or other representative claims may be pursued in arbitration, nor may such action be pursued in court if either you or we elect arbitration. Unless mutually agreed to by you and us, claims of two or more persons may not be joined, consolidated, or otherwise brought together in the same arbitration (unless those persons are parties to a single transaction or related transaction); this is so whether or not the claim may have been assigned.

Right to Resort to Provisional Remedies Preserved. Nothing in this section shall be deemed to limit or constrain our right to resort to self-help remedies, such as the right of setoff or the right to exercise any security interest or lien we may hold on property, or to comply with legal process, or to obtain provisional remedies such as injunctive relief, attachment, or garnishment by a court having appropriate jurisdiction; provided, however, that you or we may elect to arbitrate any dispute related to such provisional remedies.

Arbitration Award. The arbitrator's award shall be final and binding unless a party appeals it in writing to the arbitration forum within fifteen days of notice of the award. The appeal must request a new arbitration before a panel of three neutral arbitrators selected in accordance with the rules of the same arbitration forum. The panel will consider all factual and legal issues anew, follow the same rules that apply to a proceeding using a single arbitrator, and make decisions based on the vote of the majority. Costs will be allocated in the same way they are allocated before a single arbitrator. An award by a panel is final and binding on the parties after fifteen (15) days have passed. A final and binding award is subject to judicial intervention or review only to the extent allowed under the Federal Arbitration Act. A Party may seek to have a final and binding award entered as a judgment in any court having jurisdiction.

Governing Law. You and we agree that our relationship includes transactions involving interstate commerce and that these arbitration provisions are governed by, and enforceable under, the Federal Arbitration Act.

Severability, Survival. These arbitration provisions shall survive: (i) termination of this Agreement; (ii) the bankruptcy of any Party; and (iii) the transfer or assignment of this Agreement. If any portion of this arbitration provision is deemed invalid or unenforceable, the

entire arbitration provision shall not remain in force. No provision of this arbitration provision may be amended, severed or waived absent a written agreement between you and us.

8. WAIVER OF TRIAL BY JURY.

EACH OF YOU AND US HEREBY KNOWINGLY, INTELLIGENTLY AND VOLUNTARILY WAIVES ANY RIGHT THAT SUCH PARTY MAY HAVE TO REQUEST A TRIAL BY JURY IN CONNECTION WITH ANY PROCEEDING RELATING TO THIS AGREEMENT.

9. CONDITIONS PRECEDENT.

- (a) Our obligations to pay the Purchase Amount and to acquire the Property shall be subject to the following conditions:
 - (i) Your representations and warranties in this Agreement are true and correct as of the date of this Agreement;
 - (ii) This Agreement and the attached documents (Spousal Acknowledgment and Notice of Sale and Assignment) are signed by you before a Notary Public and returned to us (all containing original signatures);
 - (iii) You have complied in all material respects with all covenants required by this Agreement to be complied with by you before this Agreement is fully executed; and
- (b) Your obligation to sell to us and transfer the Property to us shall be subject to the following conditions:
 - (i) Our representations and warranties in this Agreement are true and correct as of the date of this Agreement;
 - (ii) We have complied in all material respects with all covenants required by this Agreement to be complied with by us before this Agreement is fully executed; and
 - (iii) You have received this Agreement duly executed on behalf of us.

10. ADDITIONAL PURCHASE PRICE

If no Breach has occurred and RDL Finance receives payment of the full Property Amount by any of the following dates, RDL Finance will pay to you the following additional consideration in the form of an increase in the Purchase Price:

(A) If RDL FINANCE receives the Property Amount on or before January 31, 2016,

- it will pay you an Additional Purchase Price of \$320,277.04
- (B) If RDL FINANCE receives the Property Amount between February 01, 2016 and February 29, 2016 it will pay you an Additional Purchase Price of \$315,486.13
- (C) If RDL FINANCE receives the Property Amount between March 01, 2016 and March 31, 2016 it will pay you an Additional Purchase Price of \$129,648.63
- (D) If RDL FINANCE receives the Property Amount between April 01, 2016 and April 30, 2016 it will pay you an Additional Purchase Price of \$305,703.75
- (E) If RDL FINANCE receives the Property Amount between May 01, 2016 and May 31, 2016 it will pay you an Additional Purchase Price of \$300,710.44
- (F) If RDL FINANCE receives the Property Amount between June 01, 2016 and June 30, 2016 it will pay you an Additional Purchase Price of \$295,647.77
- (G) If RDL FINANCE receives the Property Amount between July 01, 2016 and July 31, 2016 it will pay you an Additional Purchase Price of \$290,514.79
- (H) If RDL FINANCE receives the Property Amount between August 01, 2016 and August 31, 2016 it will pay you an Additional Purchase Price of \$285,310.52
- If RDL FINANCE receives the Property Amount between September 01, 2016 and September 30, 2016
 it will pay you an Additional Purchase Price of \$280,033.98
- (J) If RDL FINANCE receives the Property Amount between October 01, 2016 and October 31, 2016 it will pay you an Additional Purchase Price of \$274,684.15
- (K) If RDL FINANCE receives the Property Amount between November 01, 2016 and November 30, 2016 it will pay you an Additional Purchase Price of \$269,260.01
- (L) If RDL FINANCE receives the Property Amount between December 01, 2016 and December 31, 2016 it will pay you an Additional Purchase Price of \$263,760.55
- (M) If RDL FINANCE receives the Property Amount between January 01, 2017 and January 31, 2017 it will pay you an Additional Purchase Price of \$258,184.71
- (N) If RDL FINANCE receives the Property Amount between February 01, 2017 and February 28, 2017 it will pay you an Additional Purchase Price of \$252,531.43
- (O) If RDL FINANCE receives the Property Amount between March 01, 2017 and March 31, 2017 it will pay you an Additional Purchase Price of \$246,799.63
- (P) If RDL FINANCE receives the Property Amount between April 01, 2017 and April 30, 2017 it will pay you an Additional Purchase Price of \$240,988.23
- (Q) If RDL FINANCE receives the Property Amount between May 01, 2017 and May 31, 2017 it will pay you an Additional Purchase Price of \$235,096.11

- (R) If RDL FINANCE receives the Property Amount between June 01, 2017 and June 30, 2017 it will pay you an Additional Purchase Price of \$229,122.17
- (S) If RDL FINANCE receives the Property Amount between July 01, 2017 and July 31, 2017 it will pay you an Additional Purchase Price of \$223,065.25
- (T) If RDL FINANCE receives the Property Amount between August 01, 2017 and August 31, 2017 it will pay you an Additional Purchase Price of \$216,924.21
- (U) If RDL FINANCE receives the Property Amount between September 01, 2017 and September 30, 2017 it will pay you an Additional Purchase Price of \$210,697.89
- (V) If RDL FINANCE receives the Property Amount between October 01, 2017 and October 31, 2017 it will pay you an Additional Purchase Price of \$204,385.09
- (W) If RDL FINANCE receives the Property Amount between November 01, 2017 and November 30, 2017 it will pay you an Additional Purchase Price of \$197,984.62
- (X) If RDL FINANCE receives the Property Amount between December 01, 2017 and December 31, 2017 it will pay you an Additional Purchase Price of \$191,495.25
- (Y) If RDL FINANCE receives the Property Amount between January 01, 2018 and January 31, 2018 it will pay you an Additional Purchase Price of \$184,915.75
- (Z) If RDL FINANCE receives the Property Amount between February 01, 2018 and February 28, 2018 it will pay you an Additional Purchase Price of \$178,244.88
- (AA) If RDL FINANCE receives the Property Amount between March 01, 2018 and March 31, 2018 it will pay you an Additional Purchase Price of \$171,481.36
- (BB) If RDL FINANCE receives the Property Amount between April 01, 2018 and April 30, 2018 it will pay you an Additional Purchase Price of \$164,623.91
- (CC) If RDL FINANCE receives the Property Amount between May 01, 2018 and May 31, 2018 it will pay you an Additional Purchase Price of \$157,671.21
- (DD) If RDL FINANCE receives the Property Amount between June 01, 2018 and June 30, 2018 it will pay you an Additional Purchase Price of \$150,621.95
- (EE) If RDL FINANCE receives the Property Amount between July 01, 2018 and July 31, 2018 it will pay you an Additional Purchase Price of \$143,474.79
- (FF) If RDL FINANCE receives the Property Amount between August 01, 2018 and August 31, 2018 it will pay you an Additional Purchase Price of \$136,228.37
- (GG) If RDL FINANCE receives the Property Amount between September 01, 2018 and September 30, 2018 it will pay you an Additional Purchase Price of \$128,881.31
- (HH) If RDL FINANCE receives the Property Amount between October 01, 2018 and October 31, 2018

- it will pay you an Additional Purchase Price of \$121,432.20
- (II) If RDL FINANCE receives the Property Amount between November 01, 2018 and November 30, 2018 it will pay you an Additional Purchase Price of \$113,879.64
- (IJ) If RDL FINANCE receives the Property Amount between December 01, 2018 and December 31, 2018 it will pay you an Additional Purchase Price of \$106,222.19
- (KK) If RDL FINANCE receives the Property Amount between January 01, 2019 and January 31, 2019 it will pay you an Additional Purchase Price of \$98,458.39
- (LL) If RDL FINANCE receives the Property Amount between February 01, 2019 and February 28, 2019 it will pay you an Additional Purchase Price of \$90,586.76
- (MM) If RDL FINANCE receives the Property Amount between March 01, 2019 and March 31, 2019 it will pay you an Additional Purchase Price of \$82,605.80
- (NN) If RDL FINANCE receives the Property Amount between April 01, 2019 and April 30, 2019 it will pay you an Additional Purchase Price of \$74,514.01
- (OO) If RDL FINANCE receives the Property Amount between May 01, 2019 and May 31, 2019 it will pay you an Additional Purchase Price of \$66,309.83
- (PP) If RDL FINANCE receives the Property Amount between June 01, 2019 and June 30, 2019 it will pay you an Additional Purchase Price of \$57,991.70
- (QQ) If RDL FINANCE receives the Property Amount between July 01, 2019 and July 31, 2019 it will pay you an Additional Purchase Price of \$49,558.06
- (RR) If RDL FINANCE receives the Property Amount between August 01, 2019 and August 31, 2019 it will pay you an Additional Purchase Price of \$41,007.28
- (SS) If RDL FINANCE receives the Property Amount between September 01, 2019 and September 30, 2019 it will pay you an Additional Purchase Price of \$32,337.74
- (TT) If RDL FINANCE receives the Property Amount between October 01, 2019 and October 31, 2019 it will pay you an Additional Purchase Price of \$23,547.80
- (UU) If RDL FINANCE receives the Property Amount between November 01, 2019 and November 30, 2019 it will pay you an Additional Purchase Price of \$14,635.78
- (VV) If RDL FINANCE receives the Property Amount between December 01, 2019 and December 31, 2019 it will pay you an Additional Purchase Price of \$5,599.99

RIGHT TO CANCEL

CONSUMER'S RIGHT TO CANCELLATION: YOU MAY CANCEL THIS CONTRACT WITHOUT PENALTY OR FURTHER OBLIGATION WITHIN FIVE BUSINESS DAYS FROM THE DATE YOU RECEIVE FUNDING FROM PURCHASER.

For the cancellation to be effective, you must either return to us the full amount of disbursed funds by delivering our uncashed check to our offices in person within five business days of the disbursement of funds, or mail a notice of cancellation and include in that mailing a return of the full amount of disbursed funds in the form of our uncashed check, or a registered or certified check or money order, by insured, registered or certified United States mail, postmarked within five business days of receiving funds from the company, at the address specified in the contract for the cancellation. All checks intended to cancel the transaction should be mailed to: RD Legal Finance, LLC, PO Box 12428, Newark, NJ 07101-3528.

IMPORTANT NOTICE

This is a complex financial transaction. By signing this Agreement, you are assigning your rights to a portion of the Award that you may receive in regard to the Case. In return for your assignment, you will receive an immediate cash payment that is significantly less than the portion of the Award that you are assigning. You are strongly encouraged before signing this Agreement to consult with an attorney and/or trusted financial advisor of your choice, who can assist you in determining whether this transaction will best fulfill your financial needs and objectives and protect your interests in the event you choose to proceed with this transaction.

DO NOT SIGN THIS CONTRACT BEFORE YOU READ IT COMPLETELY OR IF IT CONTAINS ANY BLANK SPACES. YOU ARE ENTITLED TO A COMPLETELY FILLED IN COPY OF THIS CONTRACT. BEFORE YOU SIGN THIS CONTRACT YOU SHOULD OBTAIN THE ADVICE OF AN ATTORNEY. DEPENDING ON THE CIRCUMSTANCES, YOU MAY WANT TO CONSULT A TAX, PUBLIC OR PRIVATE BENEFIT PLANNING, OR FINANCIAL PROFESSIONAL. YOU ACKNOWLEDGE THAT YOUR ATTORNEY IN THE CIVIL ACTION OR CLAIM HAS PROVIDED NO TAX, PUBLIC OR PRIVATE BENEFIT PLANNING, OR FINANCIAL ADVICE REGARDING THIS TRANSACTION.

11. Date of Execution: This Agreement will be deemed executed only when: (i) the Agreement and the attached documents (Limited Irrevocable Power of Attorney, Certification of Truthfulness, Spousal Acknowledgment and Notice of Assignment) are signed by you before a Notary Public and returned to RDL Finance at its offices (all containing original signatures), (ii) RDL Finance receives from Rosenthal Lurie LLC or "you" the Notice of Assignment to Rosenthal Lurie LLC and the letter addressed to RDL Finance from Rosenthal Lurie LLC

acknowledging RDL Finance's lien on your interest in the Judgment, and (iii) the Agreement is signed by an agent, servant and/or employee of RDL Finance.

12. Wire Instructions: Please send or transmit payment subject to this Agreement to RD Legal Finance, LLC at:

Bank: BMO Harris Bank, N.A., 111 West Monroe, Chicago, IL 60603
Account Title: RD Legal Finance, LLC
Account Number:

IMPORTANT NOTICE

This is a complex financial transaction. By signing this Agreement, you are assigning your rights to a portion of the Award that you may receive in regard to the Case. In return for your assignment, you will receive an immediate cash payment that is significantly less than the portion of the Award that you are assigning. You are strongly encouraged before signing this Agreement to consult with an attorney and/or trusted financial advisor of your choice, who can assist you in determining whether this transaction will best fulfill your financial needs and objectives and protect your interests in the event you choose to proceed with this transaction.

DO NOT SIGN THIS CONTRACT BEFORE YOU READ IT COMPLETELY OR IF IT CONTAINS ANY BLANK SPACES. YOU ARE ENTITLED TO A COMPLETELY FILLED IN COPY OF THIS CONTRACT. BEFORE YOU SIGN THIS CONTRACT YOU SHOULD OBTAIN THE ADVICE OF AN ATTORNEY. DEPENDING ON THE CIRCUMSTANCES, YOU MAY WANT TO CONSULT A TAX, PUBLIC OR PRIVATE BENEFIT PLANNING, OR FINANCIAL PROFESSIONAL. YOU ACKNOWLEDGE THAT YOUR ATTORNEY IN THE CIVIL ACTION OR CLAIM HAS PROVIDED NO TAX, PUBLIC OR PRIVATE BENEFIT PLANNING, OR FINANCIAL ADVICE REGARDING THIS TRANSACTION.

| The Parties have executed this Agreement as of the date first written above. |
|--|
| And when |
| Signature of Individual |
| Andrew Shewart |
| Printed Name of Individual |
| trona Slevat |
| Signature of Spouse, Sharon L. Stewart |
| Sharon Slewart |
| Printed Name of Spouse |
| |
| |
| RD LEGAL FINANCE, LLC |
| By: |
| LEV I. ZATTA |
| Printed Name |
| CFO |
| Title |

ACKNOWLEDGMENT

STATE OF WASHINGTON

SS:

COUNTY OF WHATCOM \ /00 625965

Notary Public State of Washington BRIANA ISENHOUR My Appointment Expires May 9, 2019

BE IT REMEMBERED that on date before me personally appeared Andrew S. Stewart, who I am satisfied is authorized to execute the Agreement and Power of Attorney and who executed those documents and acknowledged on the Agreement that he signed, sealed, and delivered the Agreement as his act and deed for the uses and purposes expressed therein.



NOTARY PUBLIC

ACKNOWLEDGMENT

STATE OF WASHINGTON}

SS

COUNTY OF WHATCOM } 924 96 9462

Notary Public
State of Washington
BRIANA ISENHOUR
My Appointment Expires May 9, 2019

BE IT REMEMBERED that on date before me personally appeared Sharon L. Stewart, who I am satisfied is authorized to execute the Agreement and Power of Attorney and who executed those documents and acknowledged on the Agreement that he signed, sealed, and delivered the Agreement as his act and deed for the uses and purposes expressed therein.

NOTARY PUBLIC

O LOTAPLES

CERTIFICATION OF TRUTHFULNESS

I, Andrew S. Stewart, hereby certify that all of my statements in the Agreement and the ancillary documents that I have provided to RD Legal Finance, LLC, including but not limited to the Limited Irrevocable Power of Attorney and the document entitled "Affidavit-Global (Plaintiff Client)", are true and correct and that I know I am subject to punishment if any of those statements are willfully false.

By: Andrew S Stewart

BY (Spouse):

Sharon L. Stewart

Date _____

Spousal Acknowledgment

- I, Sharon L. Stewart hereby acknowledge the following:
- (1) I am the spouse of Andrew S. Stewart, who is a Party to this Agreement;
- (2) I have read and understood the terms of, and been provided with, a copy of the Agreement;
- (3) By virtue of this Agreement, my spouse has assigned \$665,234.45 of his interest in the case regarding In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323 (the "Property") to RD Legal Finance, LLC ("RDL Finance"); and
- (4) To the best of my knowledge, my spouse (a) is not involved in any bankruptcy, insolvency or other legal proceedings that could affect his/her assets, (b) has paid or made adequate provision for payment of all federal, state and local taxes that are due, (c) has no tax or other governmental liens against him/her or his/her interest in the judgment, (d) is not indebted to me or any former spouse for support, maintenance or similar obligations, or to any child or the child's guardian for any child support or similar payments, and (e) has not transferred or assigned, and has no plans to transfer or assign, any portion of his/her interest in the judgment to any other party or person.

| capacity to understand the nature and co | | | |
|---|-------------------------|-------------------------|--------------------------|
| The undersigned has executed this Ack | nowledgment as of | an 25 ,20 | 016 |
| Reva Jewal | | | |
| Signature | | | |
| Sharon Stewar | + | | |
| Printed Name | | | |
| | ACKNOWLEDGMENT | | |
| STATE OF WASHINGTON} | SS: | Notary State of Wi | Public schington GROFING |
| COUNTY OF WHATCOM } | | My Appointment Ex | pires May 8, 2019 |
| I certify that on this 25th day | of January, 201 | 4. Sharon St. | ewart_ |
| personally came before me and acknowledgment as his or her of | signed this Acknowledge | | |
| AH K GA | Tubi | nah K. Lo | and a |
| O' seion e co | NOTARY P | UBLIC | |

- 19 -

US ACTIVE-110091304-RMJAWORS

NOTICE OF ASSIGNMENT

TO: Rosenthal Lurie LLC

1500 JFK Boulevard, Suite 1230

Philadelphia, PA, 19102

Attn: Michael H. Rosenthal, Esq.

RE: In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB.

MDL-2323 - Andrew S. Stewart

You are hereby notified that on January 22, 2016, Andrew S. Stewart ("Assignor") transferred and assigned to the undersigned ("RDL Finance") a portion of the Assignor's right, title and interest regarding In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323 (the "Judgment") and that the portion of Assignor's interest in that Judgment is assigned to RDL Finance is in the amount of \$665,234.45 (the "Property Amount").

You are hereby instructed that, promptly following receipt of funds representing Assignor's interest in the Judgment, you are to issue a check to RDL Finance (RD Legal Finance, LLC) in the sum of the Property Amount pursuant to the Agreement(s) between the parties. You are further instructed that any distribution of the Property Amount to any person other than RDL Finance shall be in violation of the Agreement and shall result in Fund Administrator being held liable for damages and attorneys' fees for any loss suffered by RDL Finance hereunder.

RD Legal Finance, LLC (Payment address only)

PO Box 12428

Newark, NJ 07101

By: Authorized

Assignor Andrew S. S.

By (Spouse):

Sharon L. Stewart

Tax I.D. No.

Date:

Rosenthal Lurie LLC 1500 JFK Boulevard, Suite 1230 Philadelphia, PA 19102

January 22, 2016

RD Legal Finance, LLC 45 Legion Drive, 2nd Floor Cresskill, NJ 07626

Attn: Roni Dersovitz

Re: In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-

02323-AB, MDL-2323 - Andrew S. Stewart

Dear Mr. Dersovitz:

This will acknowledge that our client, Andrew S. Stewart, ("Assignor"), has assigned \$665,234.45 of his interest in the judgment proceeds of the referenced case to RD Legal Finance, LLC ("RDL Finance") pursuant to the Assignment and Sale Agreement(s) dated January 22, 2016, a copy of which is attached to and made a part of this communication. Our review of the materials that have been provided to us to date, indicate that Andrew S. Stewart will receive \$1,605,500.00 in connection with Andrew S. Stewart's claim against the NFL - In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323, following the application of all offsets and set-asides.

We acknowledge receipt of the Notice of Assignment duly executed by our client, Andrew S. Stewart. In this regard, it is our understanding that, to the best of our knowledge, our client, Andrew S. Stewart, is of sound mind and possesses the appropriate mental capacity to understand the nature and consequences of this Assignment and Sale Agreement dated January 22, 2016.

Rosenthal Lurie LLC, by the signature below of Michael H. Rosenthal, advises you that as of the above date, Rosenthal Lurie LLC has reviewed its files and confirms that it has not been notified of any liens (i.e.: medical, Medicare, Medicaid, etc.) in connection with Plaintiff client Andrew S. Stewart's claim against the NFL - In Re: National Football League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323. In addition, Rosenthal Lurie LLC is not in written receipt of any past lien upon the judgment proceeds which may be received by the Assignor.

Furthermore, with the direction and consent of our client, Andrew S. Stewart, I enclose for your review the various medical records and narratives that Rosenthal Lurie LLC has on file for Mr. Stewart.

ACKNOWLEDGMENT BY COUNSEL

The undersigned attorney, individually and as authorized representative on behalf of Rosenthal Lurie, LLC, hereby acknowledges and agrees that:

- 1. I represent Andrew Stewart ("Assignor") in the "Case," as defined in that certain Assignment and Sale Agreement (the "Agreement"), dated as of January 22, 2016, by and between Assignor and RD Legal Finance, LLC ("Purchaser").
- 2. I will honor the assignment by Assignor to Purchaser as contemplated under the Agreement, and hold any funds constituting proceeds of the Case on behalf of the Assignor in an appropriate escrow account for disbursement to RDL Finance at P.O. Box 12428, Newark, NJ, 7101-5328, in accordance with the Agreement.
- 3. A copy of this letter may be delivered via email or facsimile. Each such executed copy shall be deemed an original for all purposes.

Dated: January 26, 2016

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Michael H. Rosenthal

EXHIBIT B-2

NOTICE OF ASSIGNMENT

TO: Monetary Award Fund

Claim Processing

Attn: Claims Administrator

RE: In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323 - Andrew S. Stewart

You are hereby notified that on January 22, 2016 Andrew S. Stewart ("Assignor") transferred and assigned to the undersigned ("RDL Finance") a portion of the Assignor's right, title and interest regarding In Re: National Footbal League Players' Concussion Injury Litigation No. 2:12-md-02323-AB, MDL-2323 (the "Judgment") and that the portion of Assignor's interest in that Judgment is assigned to RDL Finance is the amount of \$665,234.45 (the "Property Amount").

You are hereby instructed that, promptly following receipt of funds representing Assignor's interest in the Judgment, you are to issue a check to RD Legal Finance, LLC in the sum of the Property Amount pursuant to the Agreement(s) between the parties. You are further instructed that any distribution of the Property Amount to any person other than RDL Finance shall be in violation of the Agreement and shall result in Fund Administrator being held liable for damages and attorneys' fees for any loss suffered by RDL Finance hereunder.

- 23 -

| RD Legal Finance, LLC (Payment is | address | only) |
|-----------------------------------|---------|-------|
|-----------------------------------|---------|-------|

PO Box 12428

Newark, NJ 07101-3528

Authorized

Assignor: Andrew S. Stewart

By (Spouse):

Sharon L. Stewart

Tax I.D. No.

EXHIBIT 5-C

RD Legal Finance, LLC

| Wire Transfer Rec | luest: |
|--|---|
| Transfer From (entity): Transfer To (entity): Attorney Name: | RD Legal Finance, LLC Series D Andrew S. Stewart |
| Case Name: Other (entity): | Stewart, Andrew S. A&S #1 (NFL Concussion plaintiff) |
| Transfer Amount: | 73,120.53 |
| Transfer Date: | 1/29/2018 |
| Using: (please circle) | Investor's Money Collections |
| Comments: _Stewart, And | rew S. A&S #1 (NFL Concussion plaintiff) |
| Total Advance | De 343,120.53 |
| Less Payoff | to Peachtree (270,000.00) |
| Net Advance | 73,120.53 |
| _ | |
| | |
| Beneficlary Bank: | Bank of America (4251 Guide Meridian Street Bellingham, WA 98228) |
| ABA Routing Number: | Sam of Allons (420) Const (instant) and Constituting (41) Constituting (41) |
| Account Number: | |
| Account Name: | Andrew Stewart |
| Reference: | Funding |
| | Originator Signatory: Barbara Laracia |
| | Reviewer Signatory: |

EXHIBIT 5-D

RD Legal Finance, LLC

| Wire Transfer Re | quest: | | | |
|---|----------------------------|------------------------------|-------------|--|
| Transfer From (entity): Transfer To (entity): | RD Legal Finance, LLC Se | | | |
| Attorney Name: Case Name: Other (entity): | Stewart, Andrew S. A&S # | 1 (NFL Concussion plaintiff) | | |
| Transfer Amount: | 270,000.00 | | | |
| Transfer Date: | 1/29/2016 | | | |
| Using: (please circle) | Investor's Money | Lender's Money | Collections | |
| Comments: _Stewart, And | frew S. A&S #1 (NFL Concus | sion plaintiff) | | |
| Total Advan | ce 343,120 |).53 | | |
| Less Payoff | to Peachtree (270,000 | 0.00) | | |
| Net Advance | 73,120 | 1.53 | | |
| | 100 | | | |
| Beneficiary Bank: ABA Routing Number: | Wells Fargo Bank, NA | | | |
| Account Number: | | | | |
| Account Name: | Peachtree Pre-Settlement | SPV | | |
| Reference: | Funding | | | |
| | Originator Signatory: | Barbar | garaia | |
| | Reviewer Signatory: | for | 19 | |

EXHIBIT 5-E



Wire Activity Report

Generated By: 30002712_KJESSON Generated On: 2016-02-02 10:01:04 AM EST Date Range: 2016-01-29 to 2016-01-29

| Value Date | Funding Account | Funding Account Name | Amount | Currency | Beneficiary Name | Beneficiary Account Number | Status | Approvals Received | | |
|--|---------------------------|--|-----------|----------|--|-------------------------------|-----------|-----------------------|--|--|
| 2016-01-29 | | RD LEGAL FINANCE LLC SERIES D | 73,120.53 | USD | Andrew Stewart | | Confirmed | 1 of 1 | | |
| Ordering Customer Account: | | | | | Reason For Failure: | | | | | |
| Ordering Customer Name: RD LEGAL/WOODFIELD | | | | | Beneficiary Address: | | | | | |
| Ordering Custo | omer Address: | | | | | | | | | |
| Primary Contac | ct Number of Ordering Cus | tomer: | | | Beneficiary Bank ID: | | | | | |
| | ct Name of Ordering Custo | | | | Beneficiary Bank ID Type: | FED | | | | |
| Wire Type: | | Commercial | | | Beneficiary Bank Name: | Bank of America, National Ass | ociation | | | |
| Entry Type: | | Freeform | | | Beneficiary Bank Address: | New York, New York, US | | | | |
| Template Nam | e: | | | | Account with Institution Bank ID: | | | | | |
| | | | | | Account with Institution Bank ID Type: | | | | | |
| Charges: | | No charges | | | Account with Institution Bank Name: | | | | | |
| Exchange Rate | | | | | Account with Institution Bank Address: | | | | | |
| Converted Amo | ount: | | | | | | | | | |
| | | | | | Intermediary Bank ID: | | | | | |
| Payment Detai | ls: | Funding | | | Intermediary Bank ID Type: | | | | | |
| | | NFL Concussion Plaintiff A and S No. 1 | | | Intermediary Bank Name: | | | | | |
| Reference Nun | nber: | The state of the s | | | Intermediary Bank Address: | | | | | |
| Created By: | | KYEUN | | | Receiver's Corresponding ID: | | | | | |
| Create Date: | | 2016-01-29 04:15 PM ET | | | Receiver's Corresponding Bank ID Type: | | | | | |
| Last Action: | | Approved | | | Receiver's Corresponding Name: | | | | | |
| Last Action By: | | ATRUPIA | | | Receiver's Corresponding Address: | | | | | |
| Last Action Da | te: | 2016-01-29 04:23 PM ET | | | Sender to Receiver Information: | | | | | |
| | | | | | Related Reference Number: | | | | | |
| | | | | | IMAD Reference Number: | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
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| | | | Currency | Beneficiary Name | Beneficiary Account Number | Status | Approval: Received |
|---------------------|-------------------------------|--|--|--|---|---|--|
| | RD LEGAL FINANCE LLC SERIES D | 270,000.00 | USD | Peachtree Pre-Settlement SPV | 2000033218304 | Confirmed | 1 of 1 |
| ount: | | | | Reason For Failure: | | | |
| e: | RD LEGAL/WOODFIELD | | | Beneficiary Address: | | | |
| ess: | | | | Beneficiary Bank ID: | | | |
| r of Ordering Custo | omer: | | | | FED | | |
| of Ordering Custom | ner: | | | | Wells Fargo Bank, National As | sociation | |
| | Commercial | | | | | | US |
| | Freeform | | | | | | |
| Template Name: | | | | | | | |
| | No. of course | | | | | | |
| | No charges | | | | | | |
| | | | | Account with Institution Bank Address: | | | |
| | | | | Intermediary Bank ID: | | | |
| | | | | Intermediary Bank ID Type: | | | |
| | Funding 0 Andrew Stewart | | | Intermediary Bank Name: | | | |
| | NFL concussion plaintiff | | | Intermediary Bank Address: | | | |
| | | | | | | | |
| | KYEUN | | | | | | |
| | 2016-01-29 04:05 PM ET | | | | | | |
| | Approved | | | | | | |
| | ATRUPIA | | | Receiver's Corresponding Address: | | | |
| | 2016-01-29 04:36 PM ET | | | Sender to Receiver Information: | | | |
| | | | | Related Reference Number: | | | |
| | | | | IMAD Reference Number: | | | |
| | ress: er of Ordering Custo | ress: of Ordering Customer: Commercial Freeform No charges Funding 0 Andrew Stewart A and S No.1 NFL concussion plaintiff KYEUN 2016-01-29 04:05 PM ET Approved ATRUPIA | ress: of Ordering Customer: Commercial Freeform No charges Funding 0 Andrew Stewart A and S No.1 NFL concussion plaintiff KYEUN 2016-01-29 04:05 PM ET Approved ATRUPIA | ress: of Ordering Customer: Commercial Freeform No charges Funding 0 Andrew Stewart A and S No.1 NFL concussion plaintiff KYEUN 2016-01-29 04:05 PM ET Approved ATRUPIA | Beneficiary Bank ID: Beneficiary Bank ID Type: Beneficiary Bank Name: Beneficiary Bank Name: Beneficiary Bank Name: Beneficiary Bank Address: Freeform Account with Institution Bank ID Type: Account with Institution Bank ID Type: Account with Institution Bank ID Type: Account with Institution Bank Address: Intermediary Bank ID: Intermediary Bank ID Type: Intermediary Bank ID Type: Intermediary Bank Name: A and S No.1 NFL concussion plaintiff KYEUN 2016-01-29 04:05 PM ET Approved ATRUPIA 2016-01-29 04:36 PM ET Sender to Receiver Information: | Beneficiary Bank ID: Beneficiary Bank Name: Beneficiary Bank Address: Account with Institution Bank ID: Account with Institution Bank ID Type: No charges Account with Institution Bank Name: Account with Institution Bank Name: Account with Institution Bank Name: Intermediary Bank ID Type: Intermediary Bank Name: Intermediary Bank Address: KYEUN Receiver's Corresponding ID: Receiver's Corresponding Bank ID Type: Approved ATRUPIA Beneficiary Bank ID Type: Intermediary Bank ID Type: Receiver's Corresponding Bank ID Type: Receiver's Corresponding Name: Receiver's Corresponding Name: Receiver's Corresponding Address: Sender to Receiver Information: | Beneficiary Bank ID: Beneficiary Bank Name: Wells Fargo Bank, National Association Commercial Beneficiary Bank Address: 464 California St, SAN FRANCISCO, CA, 94104, Freeform Account with Institution Bank ID: Account with Institution Bank ID Type: No charges Account with Institution Bank ID Type: Account with Institution Bank Address: Intermediary Bank ID Type: Intermediary Bank ID Type: Intermediary Bank ID Type: Intermediary Bank ID Type: Intermediary Bank Name: A and S No.1 NFL concussion plaintiff KYEUN 2016-01-29 04:05 PM ET Approved ATRUPIA 2016-01-29 04:36 PM ET Sender to Receiver's Corresponding Address: 2016-01-29 04:36 PM ET Sender to Receiver Information: |

EXHIBIT 6

From: <u>Michael Rosenthal</u>
To: <u>Michael Roth</u>

Cc: Orran Brown Sr.; ClaimsAdministrator@NFLConcussionSettlement.com; David Willingham;

rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Amy Hirsch (ahirsch@rdlegalcapital.com)

Subject: Re: NFL Players" Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Date: Wednesday, April 11, 2018 2:03:44 PM

Mr. Brown,

As we discussed yesterday, Mr. Stewart has the right to decline the offer of a waiver and, having done so, the Claims Administrator must issue the payment to him and not RD Legal. Please instruct the bank holding the settlement funds to disburse \$343,120.53 to Mr. Stewart. Thank you.

Regards,

Michael

Michael H. Rosenthal Rosenthal Lurie & Broudy LLC 102 Pickering Way, STE 310 Exton, PA 19341 (p) 215.496.9404 (f) 215.600.1728 Michael@RLBlawgroup.com

On Apr 11, 2018, at 4:28 PM, Michael Roth < MRoth@BSFLLP.com> wrote:

Dear Mr. Brown,

We were informed today by Michael Rosenthal, Andrew Stewart's counsel, that Mr. Stewart is refusing to sign the portion of the attached Waiver Form acknowledging the amount to be returned to RD Legal.

Rule 8(b) of the Rules Governing Assignment of Claims covers this situation and provides: "If the Settlement Class Member has not agreed to the amount advanced that has not been repaid, the Claims Administrator and the Special Master will determine the correct amount from the materials submitted."

We understand that the Assignment Review already conducted involved the review of Mr. Stewart's Assignment and Sale Agreement. For convenience, that agreement is attached to this email and plainly states that the advance to Mr. Stewart was \$343,120.53. I have also attached documentation showing that \$343,120.53 was paid by RD Legal to or on behalf of Mr. Stewart.

If any additional documentation is needed to confirm the amount, please let me know.

Regards.

Michael

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 725 South Figueroa Street Los Angeles, CA 90017 (t) (213) 629-9040 (f) (213) 629-9022 mroth@bsfllp.com

www.bsfllp.com

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From: Michael Roth

Sent: Tuesday, April 10, 2018 4:05 PM

To: 'Orran Brown'; michael@RLBlawgroup.com

Cc: David Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Megan

Abner

Subject: RE: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Attached please find the Waiver Form pertaining to Andrew Stewart executed by RD Legal Finance, LLC.

Regards.

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 725 South Figueroa Street Los Angeles, CA 90017 (t) (213) 629-9040 (f) (213) 629-9022 mroth@bsfllp.com

www.bsfllp.com

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From: Orran Brown [mailto:OBrown@browngreer.com]

Sent: Tuesday, April 10, 2018 12:55 PM

To: Megan Abner

Cc: michael@RLBlawgroup.com; Michael Roth; David Willingham;

rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com

Subject: Re: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Got it. Thank you.

Orran

On Apr 10, 2018, at 3:39 PM, Megan Abner < mabner@BSFLLP.com > wrote:

Dear Mr. Brown and Mr. Rosenthal.

Please see attached for correspondence regarding the abovementioned matter from Michael Roth.

Thank you,

Megan Abner

Legal Assistant

BOIES SCHILLER FLEXNER LLP

725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 (t) +1 (213) 629-9040 mabner@bsfllp.com www.bsfllp.com

<2018-04-10 Brown re Stewart Distribution.pdf>

The information contained in this electronic message is confidential information intended only for the use of the named recipient(s) and may contain information that, among other protections, is the subject of attorney-client privilege, attorney work product or exempt from disclosure under applicable law. If the reader of this electronic message is not the named recipient, or the employee or agent respons ble to deliver it to the named recipient, you are hereby notified that any dissemination, distribution, copying or other use of this communication is strictly prohibited and no privilege is waived. If you have received this communication in error, please immediately notify the sender by replying to this electronic message and then deleting this electronic message from your computer. [v.1]

<Stewart Waiver Form.pdf><Ex_B-2 2016.01.28 (Stewart, Andrew)
Executed A&S #1.pdf><2016.01.29 RDL Finance Series D to Andrew
Stewart.pdf><2016.01.29 RDL Finance Series D to
Peachtree.pdf><2016.01.29 RDL Finance Series D to Andrew Stewart,
Peachtree - released.pdf>

EXHIBIT 7

From: <u>Michael Roth</u>
To: <u>Michael Rosenthal</u>

Cc: Orran Brown Sr.; ClaimsAdministrator@NFLConcussionSettlement.com; David Willingham;

rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Amy Hirsch (ahirsch@rdlegalcapital.com)

Subject: RE: NFL Players" Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Date: Wednesday, April 11, 2018 3:12:19 PM

Mr. Brown,

Mr. Rosenthal's assertion is inconsistent with the Court's Dec. 8 Order, which provides "under the principle of rescission, Class Members should return to the Third-Party Funder the amount already paid to them," as well as Rule 8 of the Rules Governing Assignment of Claims. Notwithstanding your conversation with Mr. Rosenthal, you are not permitted to distribute the money to Mr. Stewart in a manner that is inconsistent with the Court's Order and the Rules Governing Assignment of Claims.

Michael

Michael D. Roth

Partner

BOIES SCHILLER FLEXNER LLP

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From: Michael Rosenthal [mailto:michael@rlblawgroup.com]

Sent: Wednesday, April 11, 2018 2:04 PM

To: Michael Roth

Cc: Orran Brown Sr.; ClaimsAdministrator@NFLConcussionSettlement.com; David Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Amy Hirsch (ahirsch@rdlegalcapital.com)

Subject: Re: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Mr. Brown,

As we discussed yesterday, Mr. Stewart has the right to decline the offer of a waiver and, having done so, the Claims Administrator must issue the payment to him and not RD Legal. Please instruct the bank holding the settlement funds to disburse \$343,120.53 to Mr. Stewart. Thank you.

Regards,

Michael

Michael H. Rosenthal Rosenthal Lurie & Broudy LLC 102 Pickering Way, STE 310 Exton, PA 19341 (p) 215.496.9404 (f) 215.600.1728 Michael@RLBlawgroup.com

On Apr 11, 2018, at 4:28 PM, Michael Roth < MRoth@BSFLLP.com > wrote:

Dear Mr. Brown,

We were informed today by Michael Rosenthal, Andrew Stewart's counsel, that Mr. Stewart is refusing to sign the portion of the attached Waiver Form acknowledging the amount to be returned to RD Legal.

Rule 8(b) of the Rules Governing Assignment of Claims covers this situation and provides: "If the Settlement Class Member has not agreed to the amount advanced that has not been repaid, the Claims Administrator and the Special Master will determine the correct amount from the materials submitted."

We understand that the Assignment Review already conducted involved the review of Mr. Stewart's Assignment and Sale Agreement. For convenience, that agreement is attached to this email and plainly states that the advance to Mr. Stewart was \$343,120.53. I have also attached documentation showing that \$343,120.53 was paid by RD Legal to or on behalf of Mr. Stewart.

If any additional documentation is needed to confirm the amount, please let me know.

Regards.

Michael

Michael D. Roth

Partner

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From: Michael Roth

Sent: Tuesday, April 10, 2018 4:05 PM

To: 'Orran Brown'; michael@RLBlawgroup.com

Cc: David Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com; Megan Abner

Subject: RE: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Attached please find the Waiver Form pertaining to Andrew Stewart executed by RD Legal Finance, LLC.

Regards.

Michael D. Roth

Partner

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From: Orran Brown [mailto:OBrown@browngreer.com]

Sent: Tuesday, April 10, 2018 12:55 PM

To: Megan Abner

www.bsfllp.com

Cc: michael@RLBlawgroup.com; Michael Roth; David

Willingham; rdersovitz@legalfunding.com; PKwon@rdlegalcapital.com

Subject: Re: NFL Players' Concussion Injury Litigation, Case No. 2: 12-md-02323-AB

Got it. Thank you.

Orran

On Apr 10, 2018, at 3:39 PM, Megan Abner < mabner@BSFLLP.com > wrote:

Dear Mr. Brown and Mr. Rosenthal,

Please see attached for correspondence regarding the above-mentioned matter from Michael Roth.

Thank you,

Megan Abner

Legal Assistant

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725 South Figueroa Street, 31st Floor Los Angeles, CA 90017 (t) +1 (213) 629-9040 mabner@bsfllp.com www.bsfllp.com

<2018-04-10 Brown re Stewart Distribution.pdf>

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<Stewart Waiver Form.pdf><Ex_B-2 2016.01.28 (Stewart, Andrew) Executed A&S #1.pdf><2016.01.29 RDL Finance Series D to Andrew Stewart.pdf><2016.01.29 RDL Finance Series D to Peachtree.pdf><2016.01.29 RDL Finance Series D to Andrew Stewart, Peachtree - released.pdf>

CERTIFICATE OF SERVICE

It is hereby certified that a true and correct copy of the foregoing was served electronically via the Court's electronic filing system on the date below upon all counsel of record in this matter.

Dated: June 26, 2018

BROTMAN LAW
ELLEN C. BROTMAN, ESQ.
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Respectfully submitted,

s/Michael D. Roth
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Attorneys for RD LEGAL FUNDING, LLC; RD LEGAL FINANCE, LLC; RD LEGAL FUNDINGPARTNERS, LP; and RONI DERSOVITZ